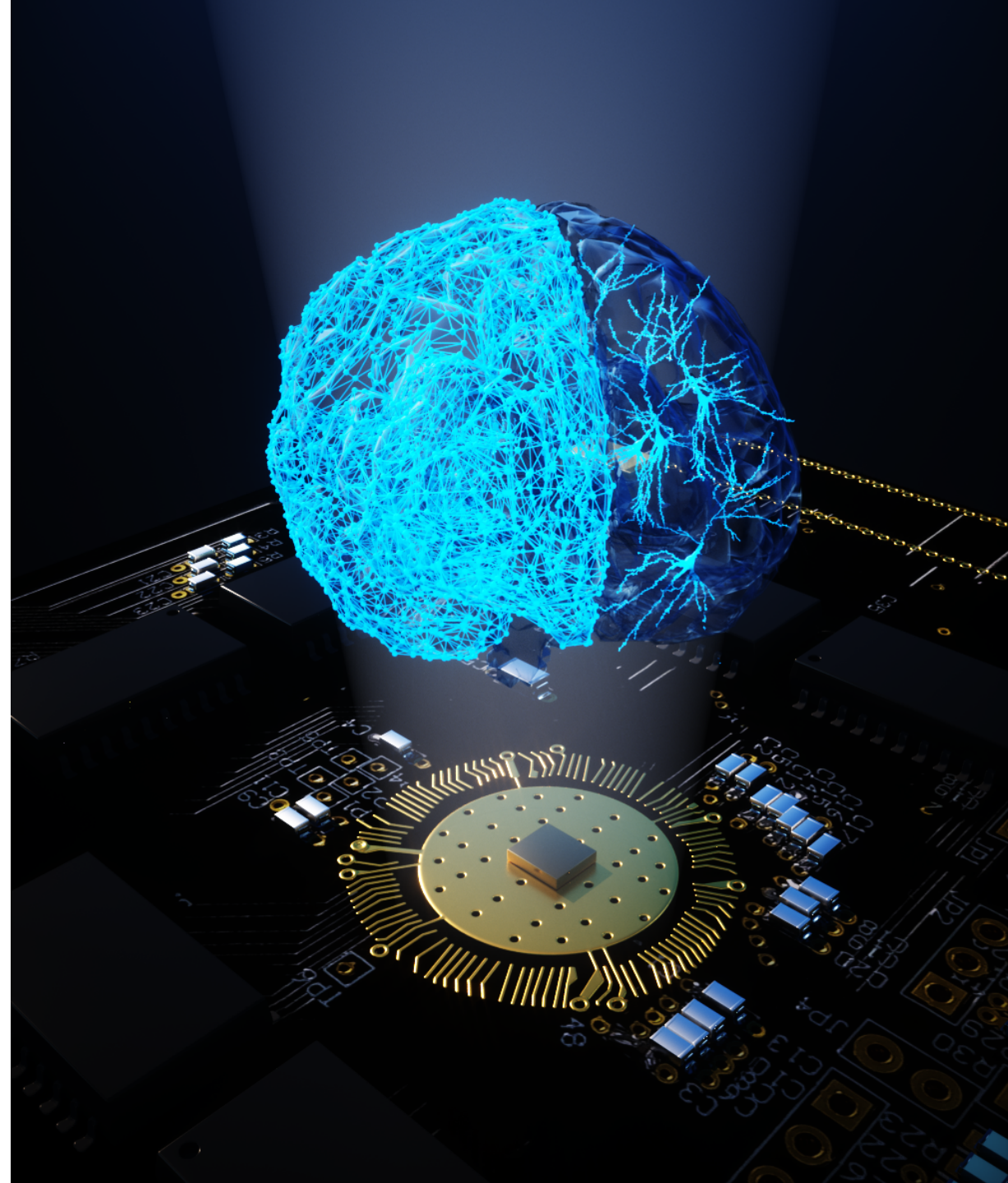


# Neural dynamics and learning in Spiking Neural Networks

Angeliki Pantazi

Manager, Neuromorphic Computing & I/O Links

IBM Research - Zurich



# IBM Research – Zurich

Established in 1956

45+ different nationalities

Open Collaboration:

Horizon2020: 50+ funded projects and 500+ partners

Two Nobel Prizes:

1986: Nobel Prize in Physics for the invention of the scanning tunneling microscope by Heinrich Rohrer and Gerd K. Binnig

1987: Nobel Prize in Physics for the discovery of high-temperature superconductivity by K. Alex Müller and J. Georg Bednorz

European Physical Society Historic Site

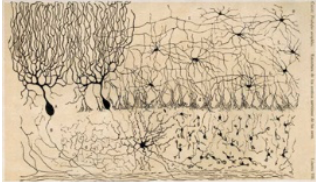
Binnig and Rohrer Nanotechnology Centre  
(Public Private Partnership with ETH Zürich  
and EMPA)



# The Evolution of Neural Networks

Simulation of the structure

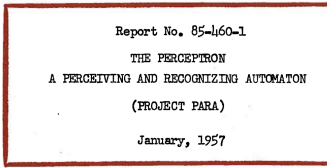
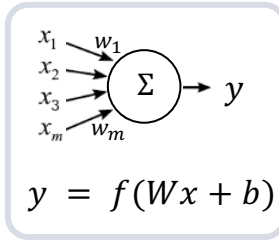
**1906**  
Ramon y Cajal - the structure of the nervous system – Nobel Prize



Bio-realistic emulation of the dynamics

## Artificial Neural Networks (ANNs)

**1943**  
McCulloch and Pitts present an Artificial Neuron



**1957**  
Rosenblatt's perceptron (1L ANN)

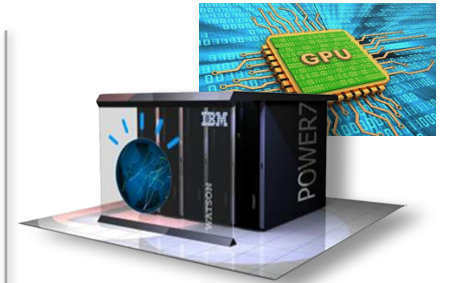
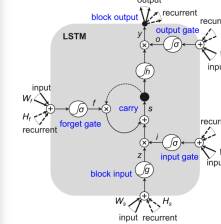
**1986**  
Hinton – learning representations with BP (multi-layer ANN)

Learning representations by back-propagating errors

David E. Rumelhart\*, Geoffrey E. Hinton† & Ronald J. Williams\*

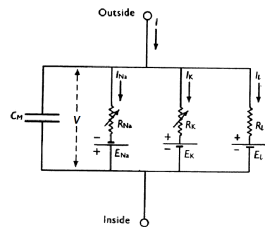
\* Institute for Cognitive Science, C-015, University of California, San Diego, La Jolla, California 92093, USA  
† Department of Computer Science, Carnegie-Mellon University, Pittsburgh, Philadelphia 15213, USA

**1997**  
Schmidhuber LSTM



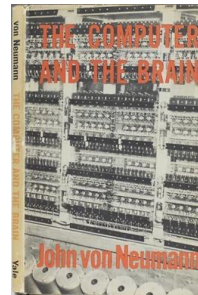
**2012 - today**  
GPUs for ANNs, large-scale processing systems  
deep learning “revolution”

**1952**  
Hodgkin-Huxley model of spiking neural dynamics – Nobel Prize

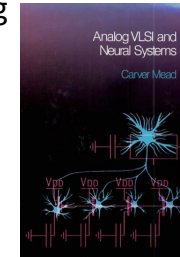


## Spiking Neural Networks (SNNs)

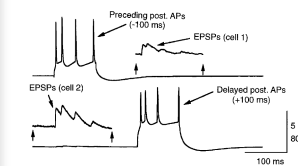
**1956**  
von Neumann postulates SNN-based architectures



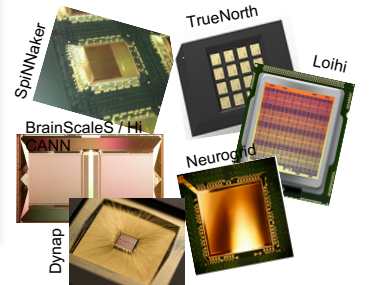
**1980s**  
C.Mead @ Caltech – Neuromorphic Engineering



**1997**  
Markram STDP learning

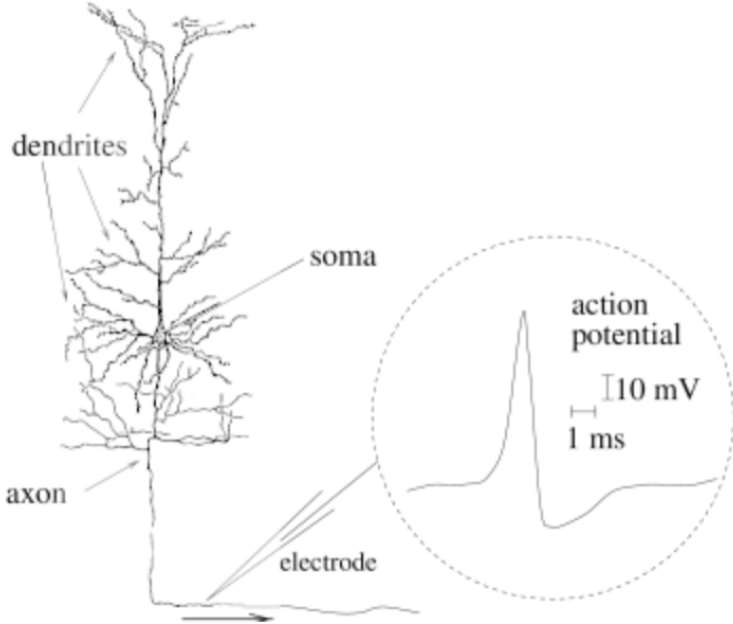


**2014 - today**  
Neuromorphic accelerators

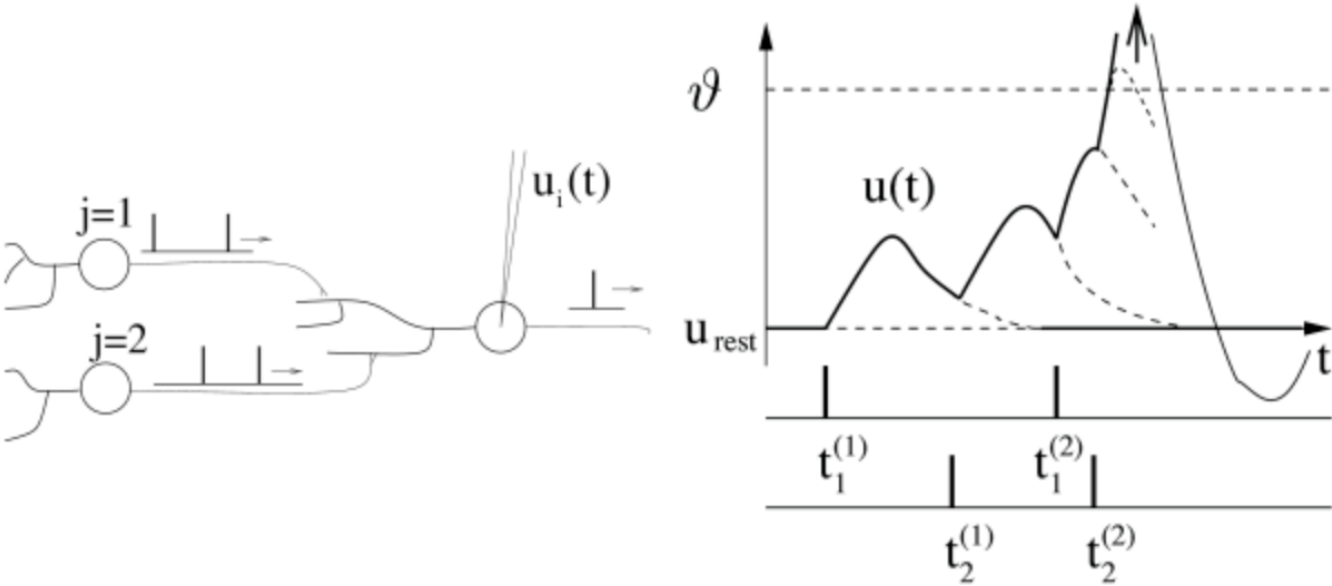


# Spiking Neurons: Neuroscience Concepts

Spiking Neuron

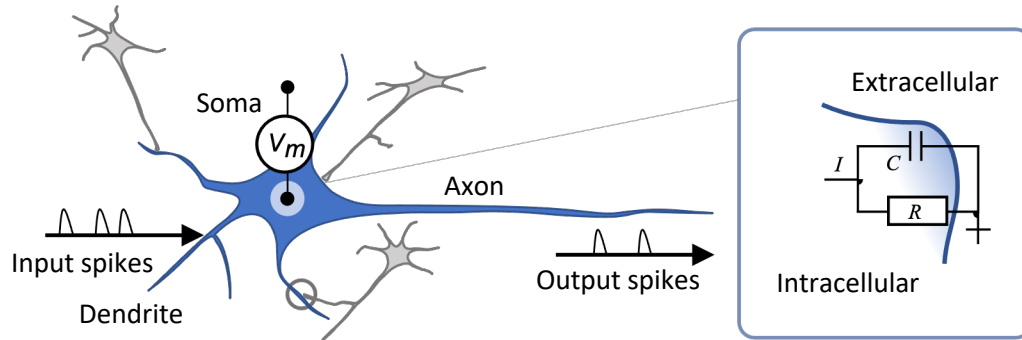


Leaky Integrate-and-Fire (LIF) dynamics



W. Gerstner, W. M. Kistler, R. Naud and L. Paninski, *Neuronal Dynamics*, Cambridge University Press, 2014

# Spiking Neural Networks



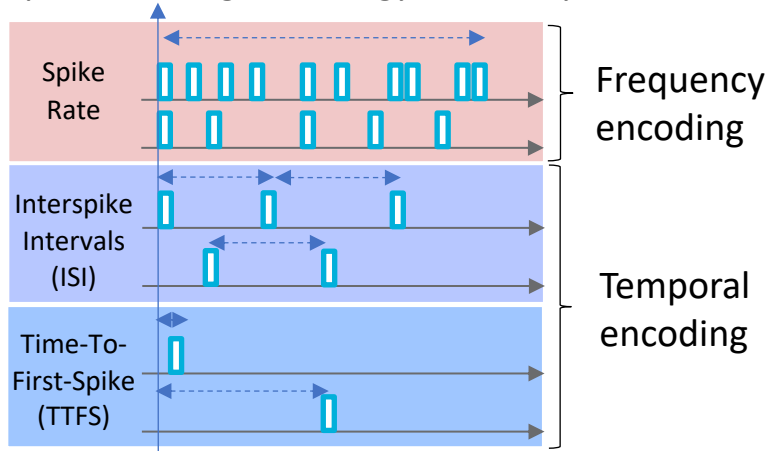
$$\tau \frac{dV_m(t)}{dt} = -V_m(t) + RI(t) \quad \text{spike on } V_m > V_{th}$$

## Key features

- Neurons communicate with spikes, encoding timing information
- Independent firing of neurons and asynchronous communication
- Stateful and adaptive neuronal dynamics
- Short and long-term synaptic plasticity
- Local and event-based learning

- Low latency
- High energy efficiency
- Novel AI features
- Memory & energy efficiency

## Input encoding for energy efficiency



## Challenges

- Lack of a general training approach limits the accuracy, scalability and applicability
- Demonstrate how SNN unique features can substantially impact AI applications
- Design energy efficient neuromorphic accelerators

# Approaches for Training Deep SNNs

- **Biologically inspired local learning rules**
  - Spike-timing dependent learning
- **Conversion from ANNs**
  - Porting the weights trained in ANNs to SNNs
- **Training constrained ANN networks**
  - Before conversion, constraints are used to model the properties of spiking neurons
- **Supervised learning directly on SNNs**
  - Training using variations of error backpropagation

M. Pfeiffer and T. Pfeil, *Front. Neurosci.* 12:774, 2018. doi: 10.3389/fnins.2018.00774

**Recent developments bridge the ANN and SNN worlds!**

# Training of Deep SNNs: Local learning rules

- **Methodology**

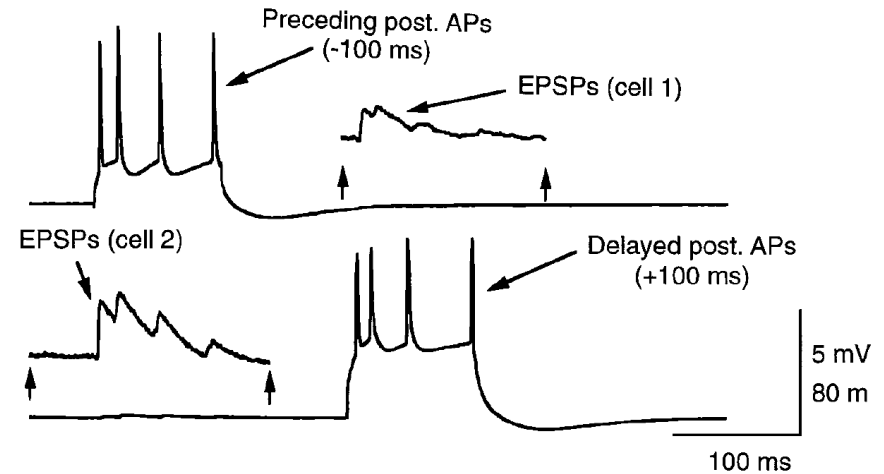
- Biologically inspired local learning such as Spike Timing Dependent Plasticity (STDP)
- The synaptic weight adjustments depend on the timing between input and output spikes

- **Advantages**

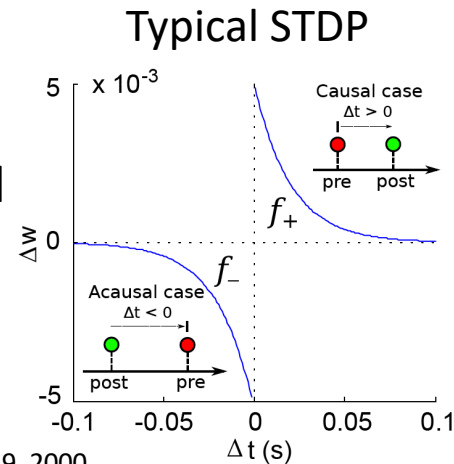
- Energy efficient learning, suitable for hardware implementations

- **Limitations**

- Reduced accuracy in complex problems and limited scaling to deep SNNs

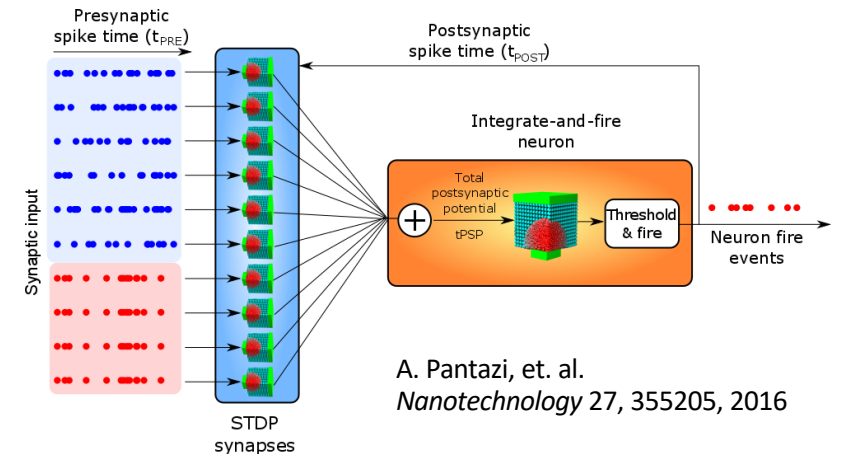


H. Markram, et. al., *Science* 275, 213–215, 1997



S. Song, et.al. *Nat. Neurosci.* 3:919, 2000

## Correlation detection



A. Pantazi, et. al. *Nanotechnology* 27, 355205, 2016

# Training of Deep SNNs: Conversion from ANNs

- **Methodology**

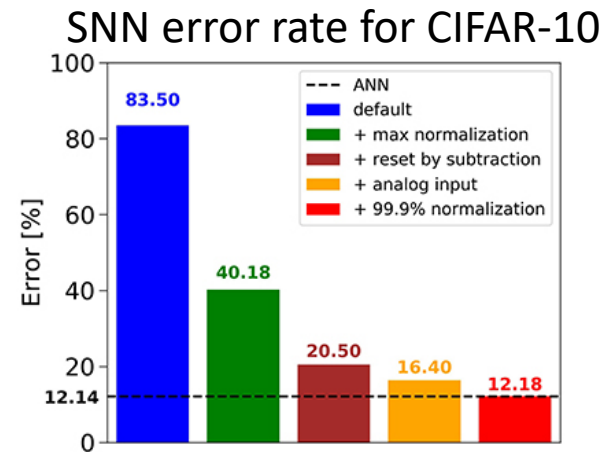
- A pre-trained ANN is converted into an SNN by adapting the synaptic weights
- Activations of ANN neurons are translated into firing rates of spiking neurons
- Spiking equivalents of ANN operators were introduced for CNN architectures

- **Advantages**

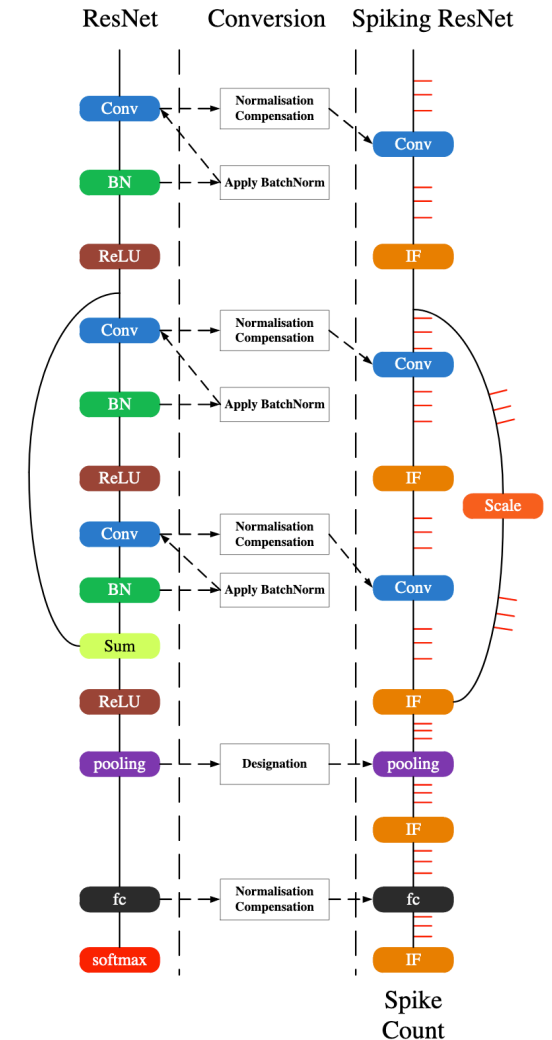
- Deep learning framework can be exploited to train SNNs

- **Limitations**

- Several ANN functionalities are difficult to realize in the spiking domain, such as batch normalization



B. Rueckauer, et. al. *Front. Neurosci.* 11:682,00682, 2017

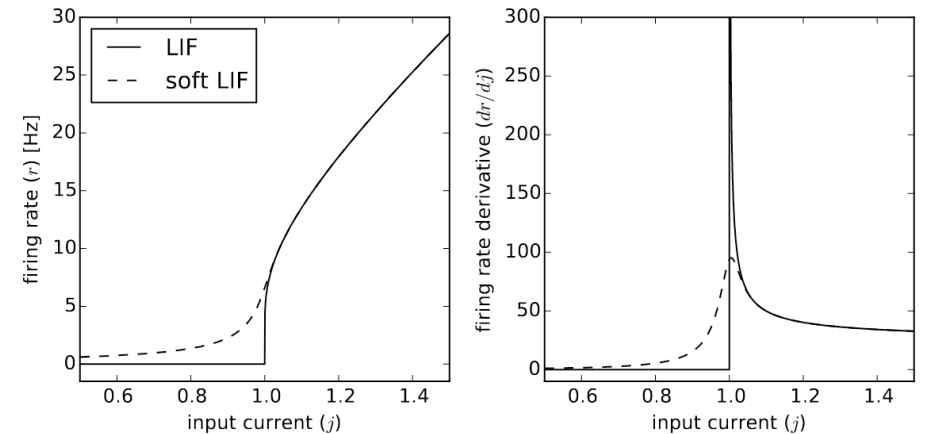


Y. Hu, et. al. *arXiv:1805.01352*, 2018



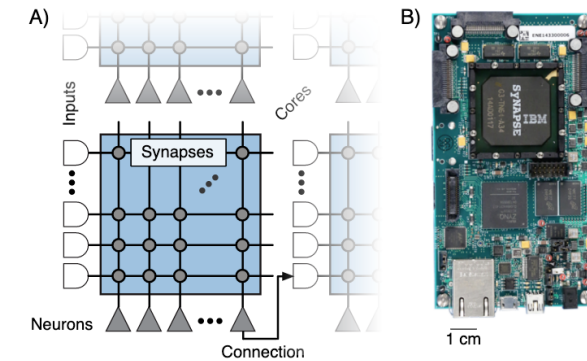
# Training of Deep SNNs: Training constrained ANN networks

- **Methodology**
  - Constraints are included in the ANN training to capture the properties of the spiking neurons
  - After training, the parameters of the constrained ANN are used as parameters of the SNN
- **Advantages**
  - ANN training includes the characteristics of the SNN
    - results in higher accuracies
- **Limitations**
  - Training requires the transformation of the non-differentiable spiking neuron models



E. Hunsberger, and C. Eliasmith, *arXiv:1611.05141*, 2016

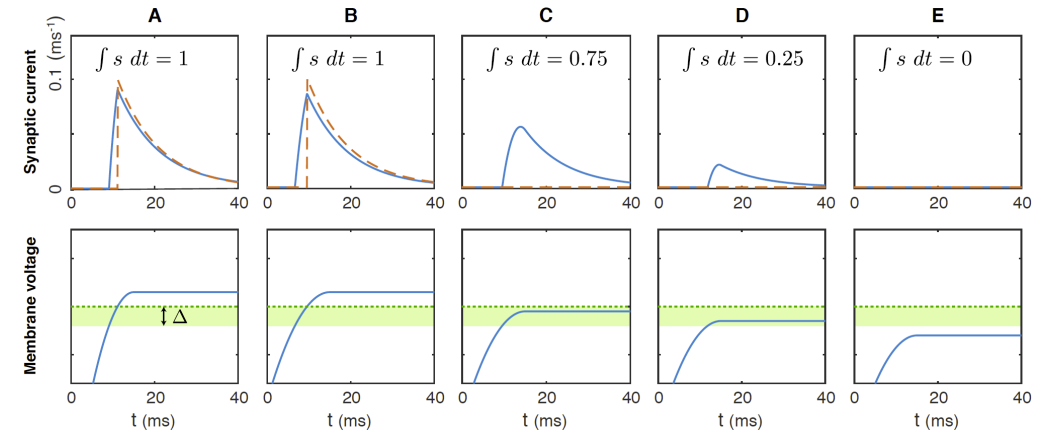
Hardware demonstration with TrueNorth



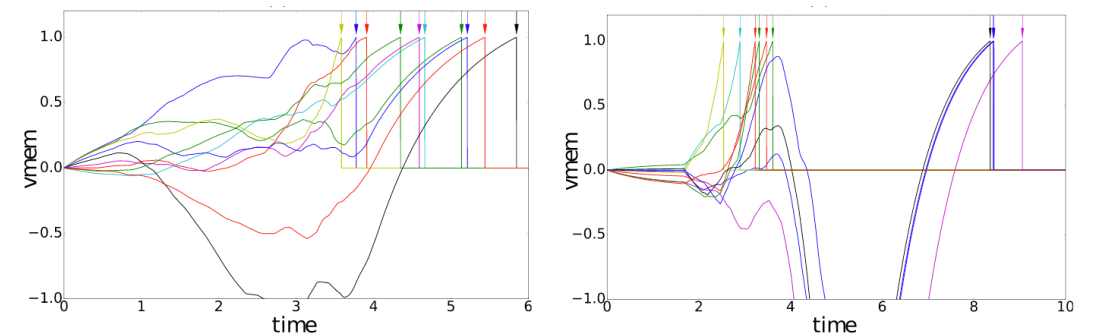
S. K. Esser et. al. *PNAS* 113 (41) 11441-11446, 2016

# Training of Deep SNNs: Supervised learning directly on SNNs

- **Methodology**
  - Introduce supervised training directly on spiking neurons
  - Typically, utilizing variants of backpropagation to train the SNNs
- **Advantages**
  - Training directly on the spiking neurons and on temporal spiking patterns
- **Limitations**
  - Find a differentiable alternative construct to enable backpropagation training



D. Huh and T. J. Sejnowski, *NeurIPS* 2018



H. Mostafa, *IEEE Trans. Neural Netw. Learn. Syst.* 29, 3227–3235, 2018

# Training of Deep SNNs: Bridging ANN and SNN worlds

- **Methodology**

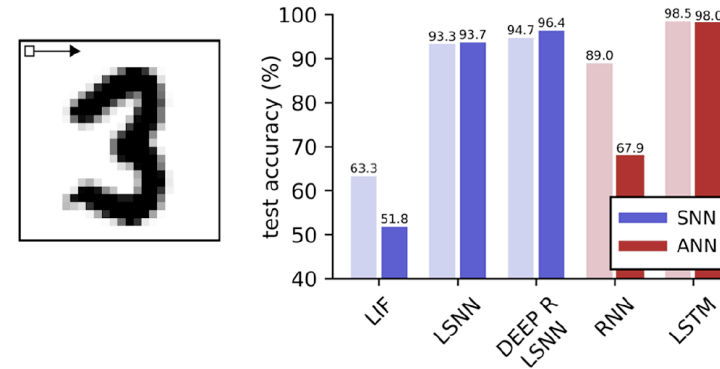
- Transfer the SNN dynamics to RNNs and train with BPTT
- For the non-differentiable elements, a pseudo-derivative is used in the backward pass

- **Advantages**

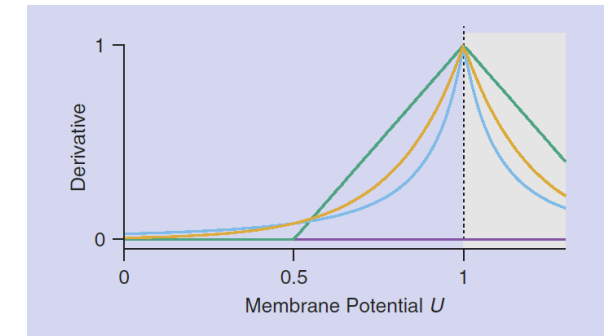
- BPTT training enables high accuracy in deep SNNs
- Scalable deep and recurrent SNN architectures

- **Limitations**

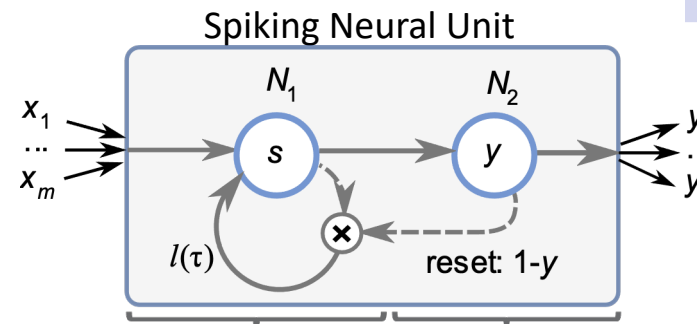
- Possible dependence of the performance on the selection of pseudo-derivative



G. Bellec, et. al., *NeurIPS*, 2018



E. O. Neftci, et.al. *IEEE Signal Process. Mag.*, 2019

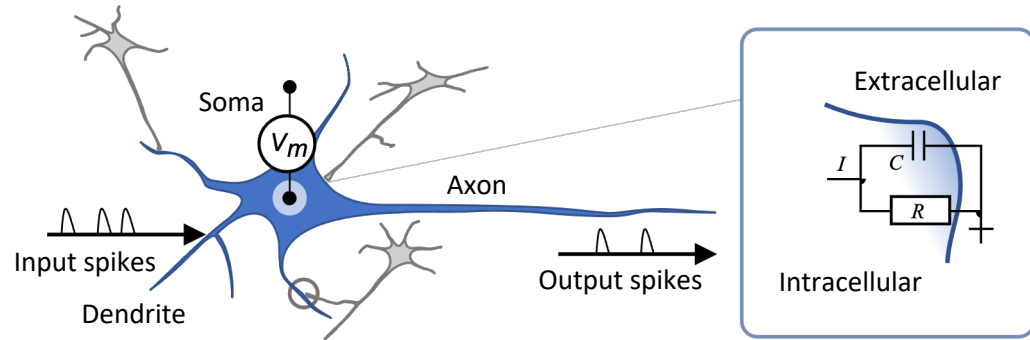


S. Wozniak, et. al., *arXiv*, 2018.

S. Wozniak, et. al., *Nat. Mach. Intell.*, 2020

# Training of Deep SNNs: Neuro-inspired units - SNU

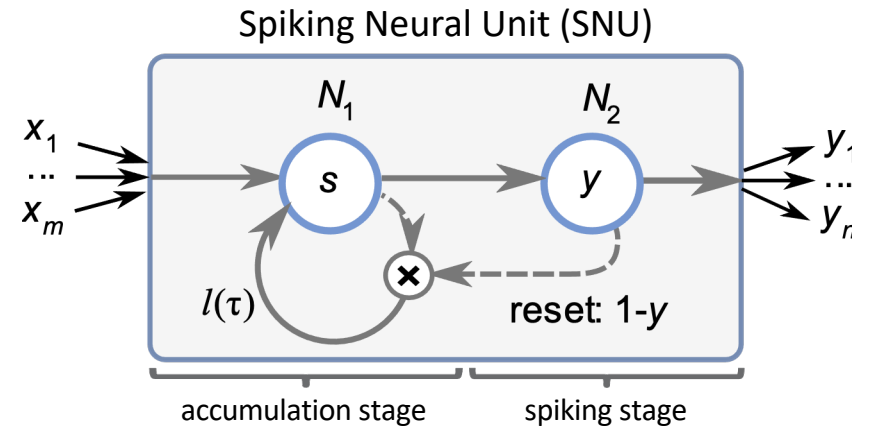
## Biology



$$\tau \frac{dV_m(t)}{dt} = -V_m(t) + RI(t) \quad \text{spike on } V_m > V_{th}$$



## Deep Learning



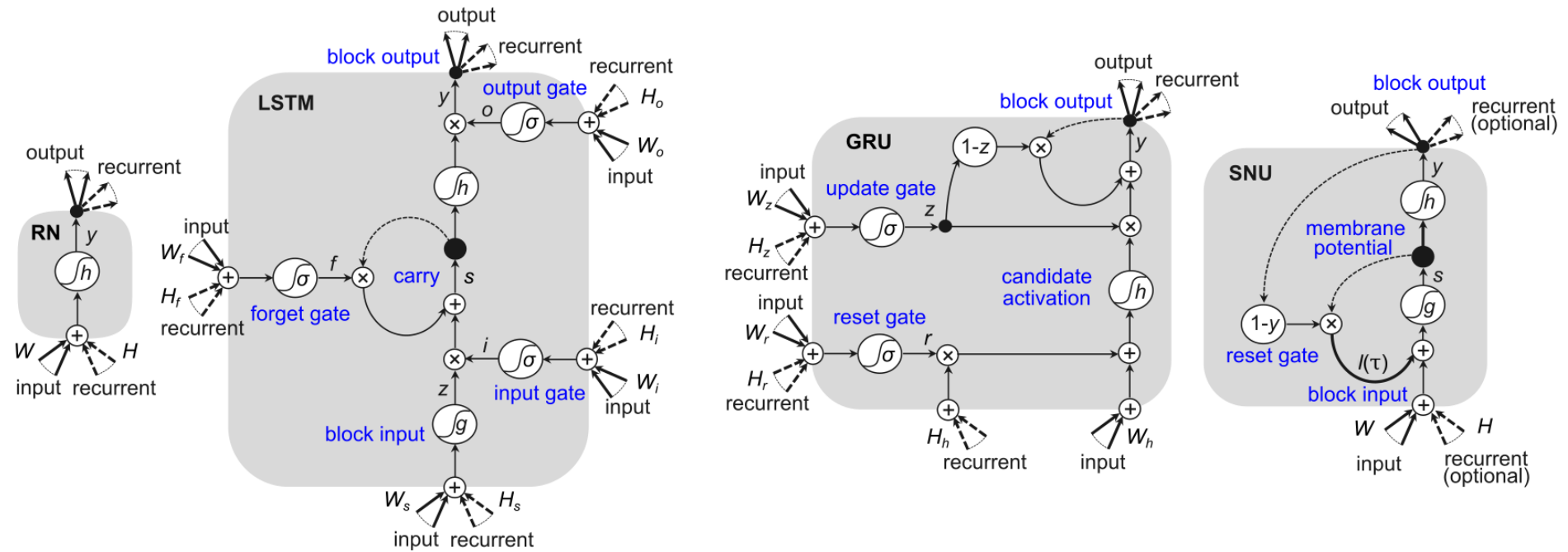
$$s_t = g(Wx_t + l(\tau) \odot s_{t-1} \odot (1 - y_{t-1}))$$
$$y_t = h(s_t + b)$$

S. Woźniak et al., *Nature Machine Intelligence* 325–336, 2020

- SNUs enable modelling of SNNs in deep learning frameworks and training with BPTT
- Soft SNU(sSNU) variant introduces novel temporal dynamics into ANNs

Significant step towards exploiting the computational efficiency of SNNs

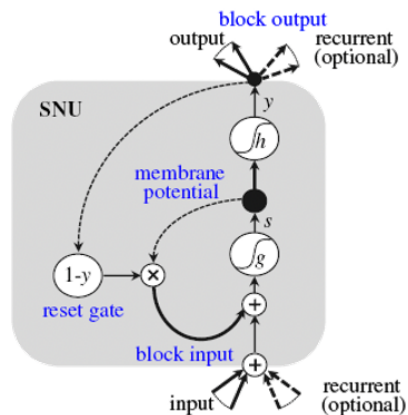
# SNUs compared with RNNs, LSTMs and GRUs



- Structural similarities, i.e. with LSTM's carry and GRU's internal connection to the output
- Qualitatively different dynamics than these of existing RNN units
- SNUs are similarly robust to vanishing gradients as LSTMs

# Biologically-inspired SNU extensions

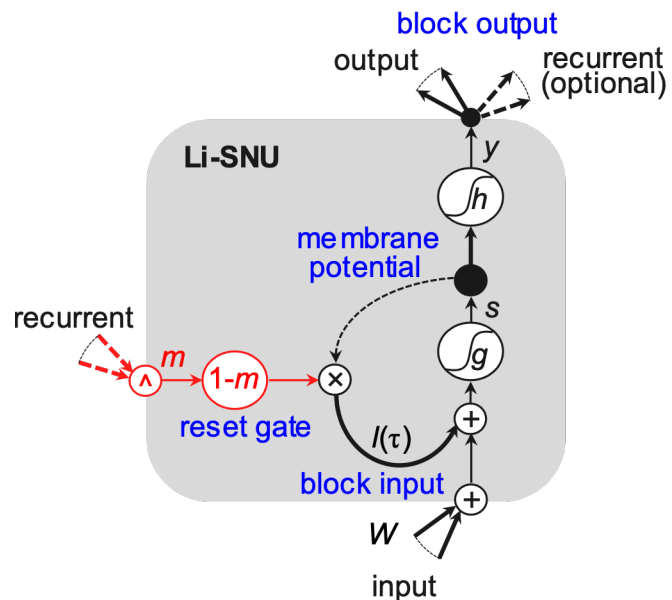
## SNU



$$s_t = g(Wx_t + l(\tau) \odot s_{t-1} \odot (1 - y_{t-1}))$$

$$y_t = h(s_t + b)$$

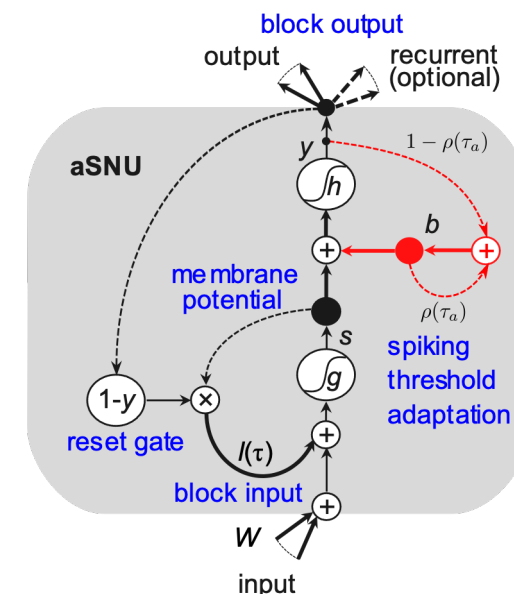
## Lateral Inhibition SNU (LI-SNU)



$$s_t = g(Wx_t + l(\tau) \odot s_{t-1} \odot (1 - \max(y_{t-1})))$$

$$y_t = h(s_t + b)$$

## Adaptive SNU (a-SNU)



$$s_t = g(Wx_t + l(\tau) \odot s_{t-1} \odot (1 - y_{t-1}))$$

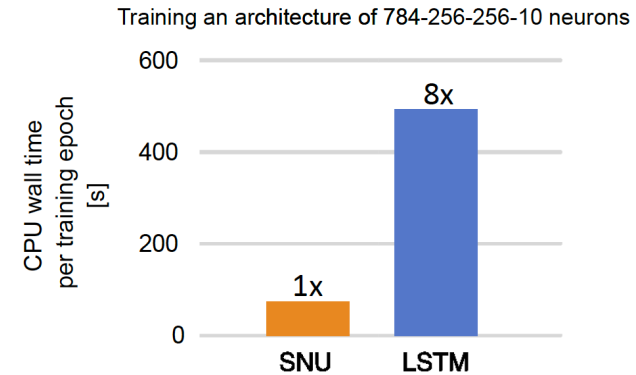
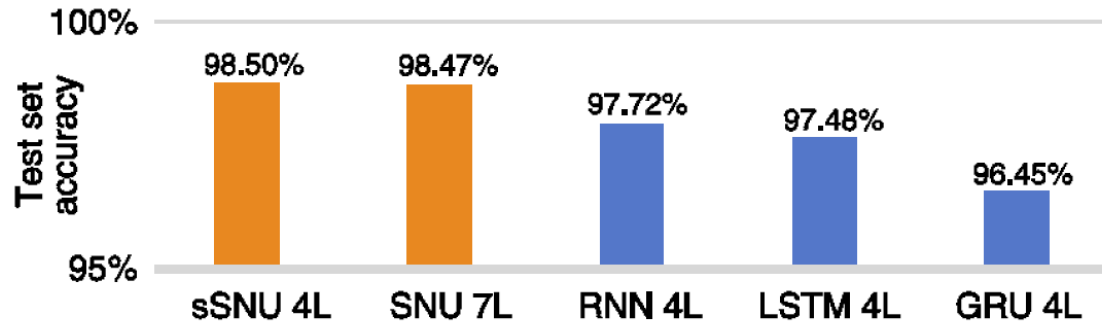
$$b_t = \rho(\tau_a) \odot b_{t-1} + (1 - \rho(\tau_a)) \odot y_{t-1}$$

$$y_t = h(s_t + \beta \odot b_t + b_0)$$

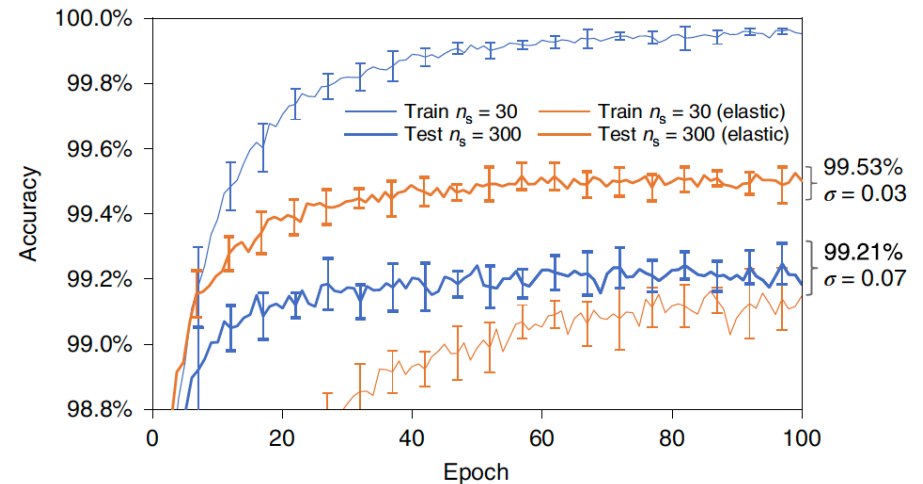
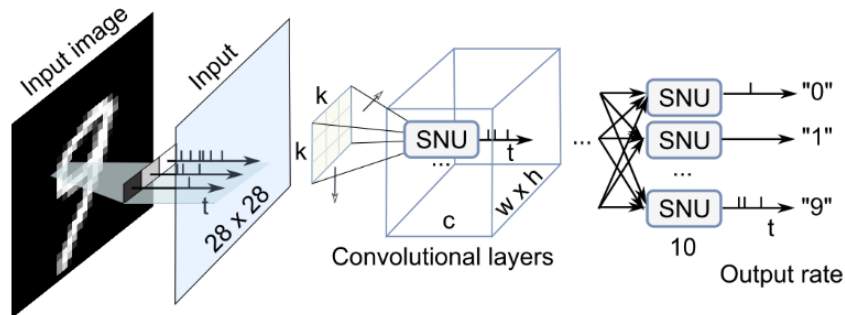
SNU offers a new framework for modelling and understanding the neural dynamics

# Digit recognition using rate-coded MNIST dataset

## Fully-connected architecture



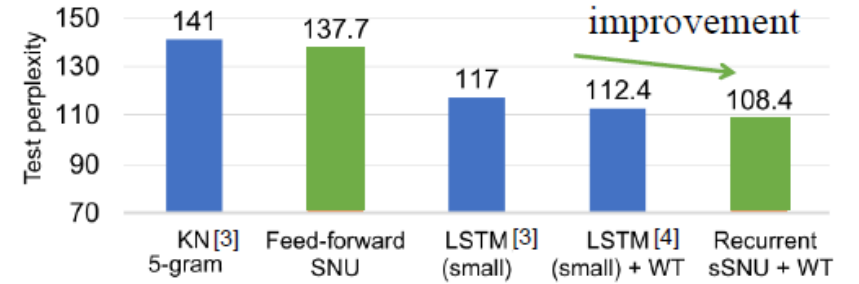
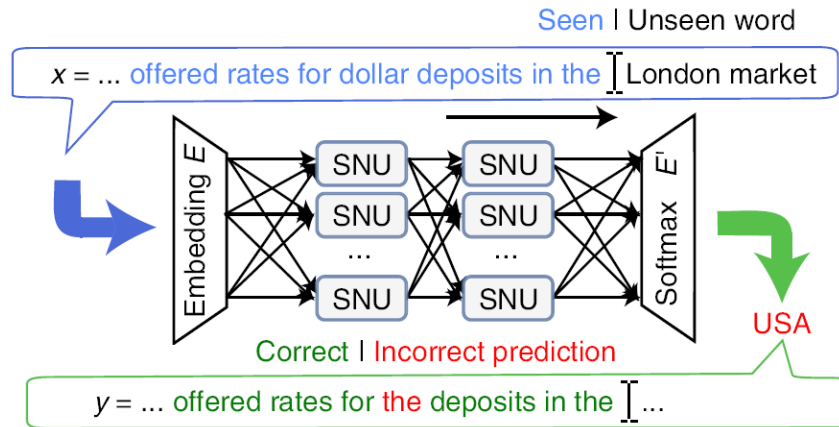
## Convolutional architecture



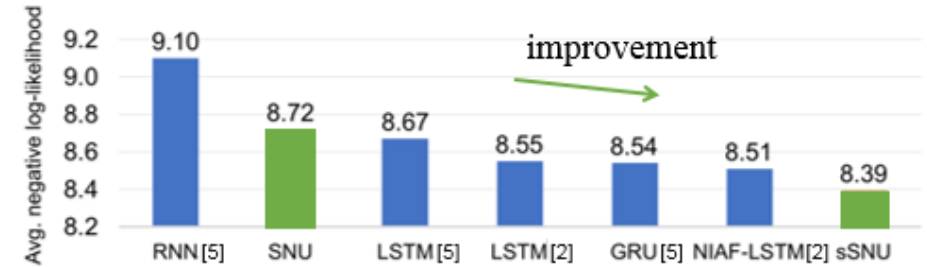
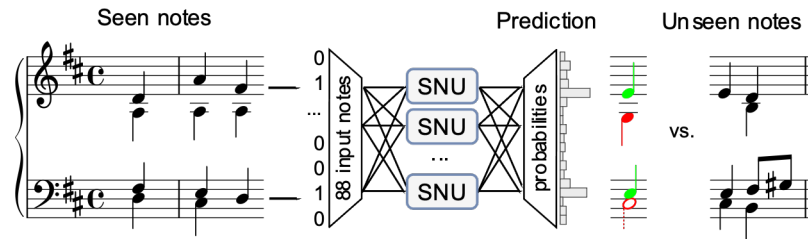
**Record SNN accuracy: 99.53% !**

# Applications using ANN datasets

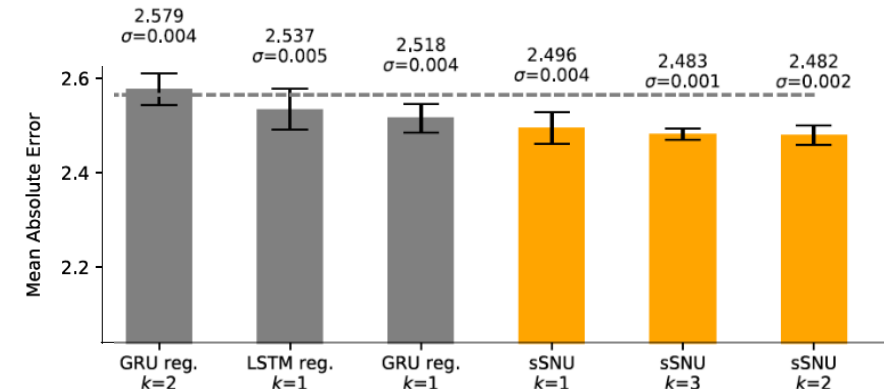
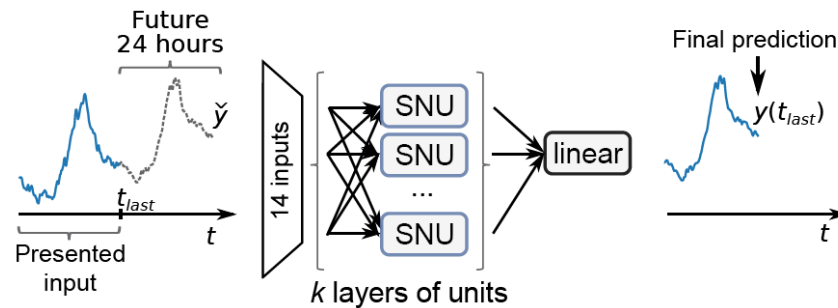
## Language modelling (PTB)



## Music prediction (JSB)



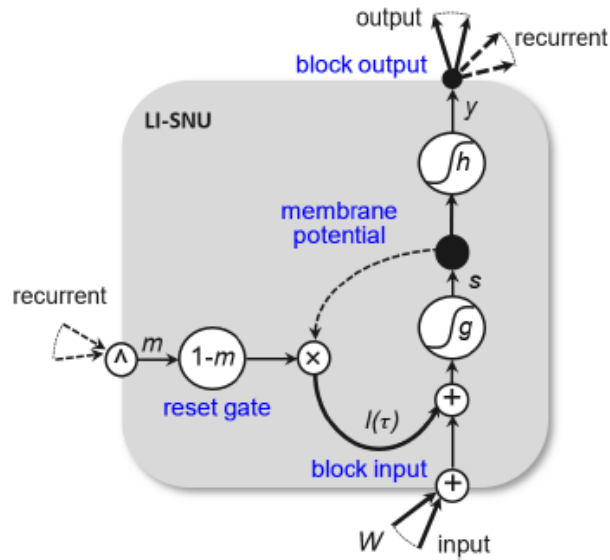
## Weather prediction (Jena)



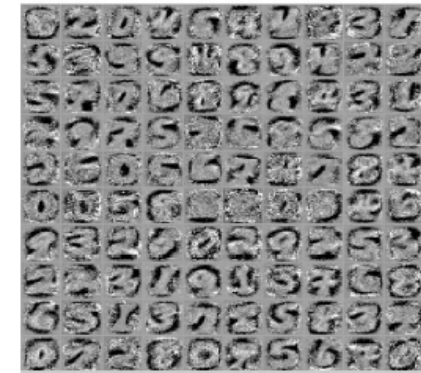
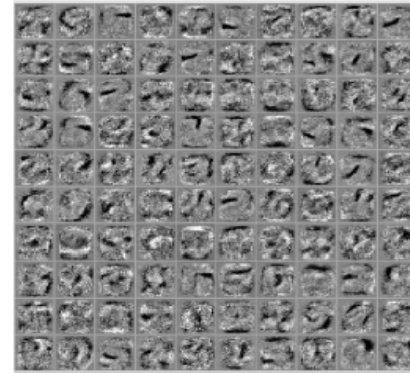


# SNU variants: Lateral Inhibition

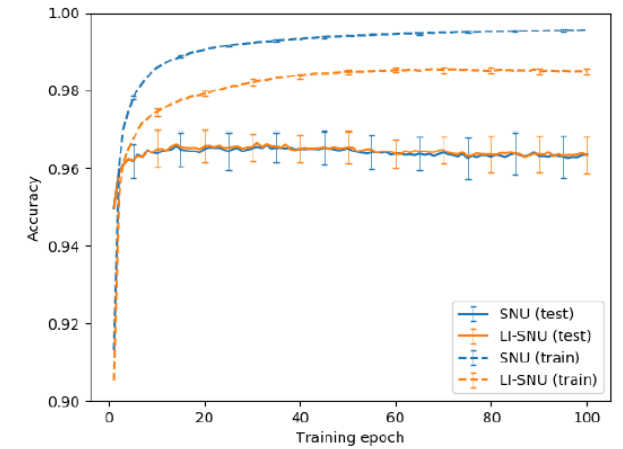
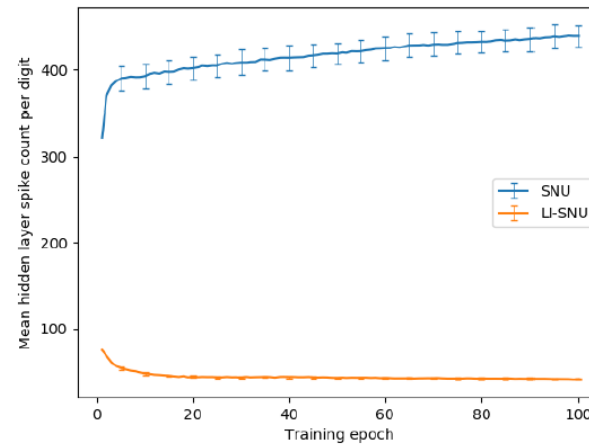
## Lateral Inhibition SNU (LI-SNU)



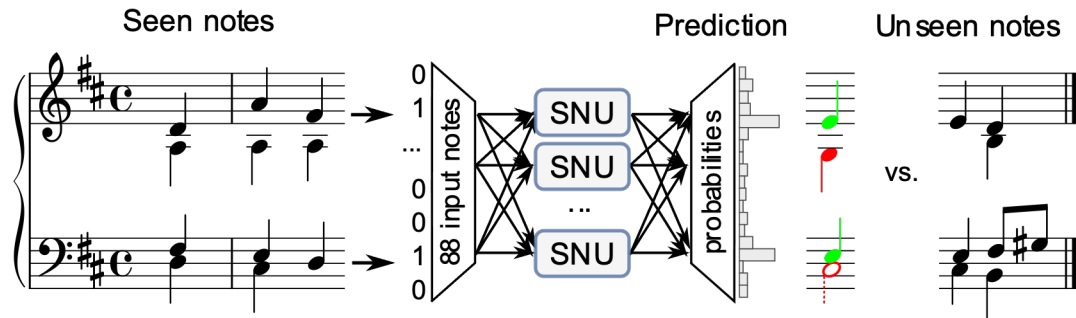
Internal representation: Different kind of features (larger motifs)



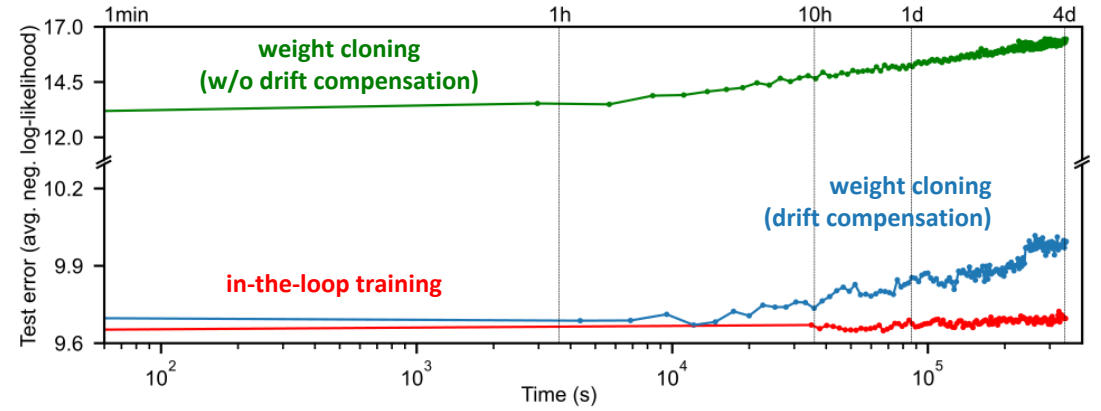
Significant neural activity reduction, and thus lower energy footprint



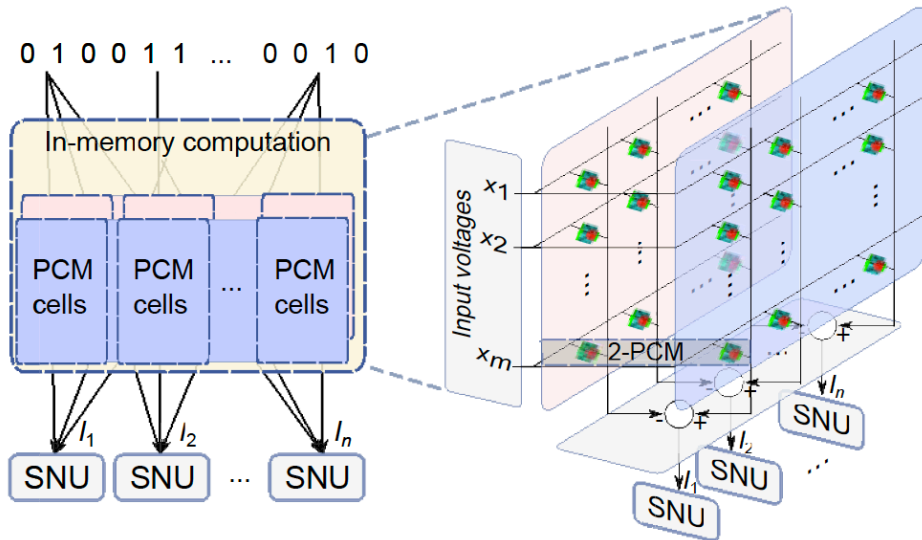
# SNUs and in-memory computing



**Music prediction:** The task is to predict a set of notes (chord) that will be played based on the past notes

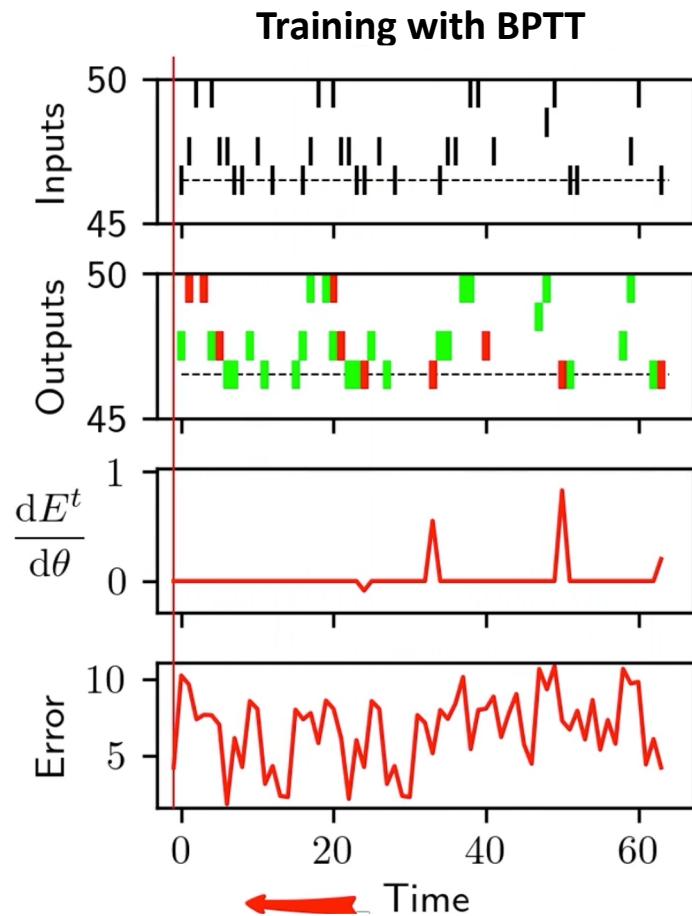


Music prediction inference over 4 days

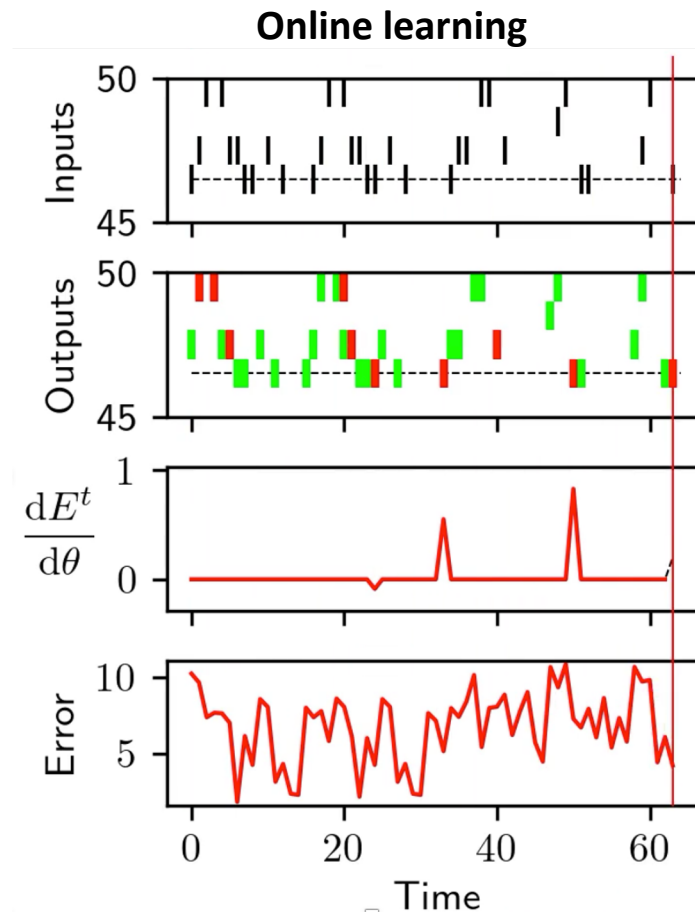


- Easy integration of SNNs into emerging in-memory computing
- Unified HW design approach supporting both ANNs and SNNs
- Training with hardware-in-the-loop compensates for PCM imperfections

# Online learning

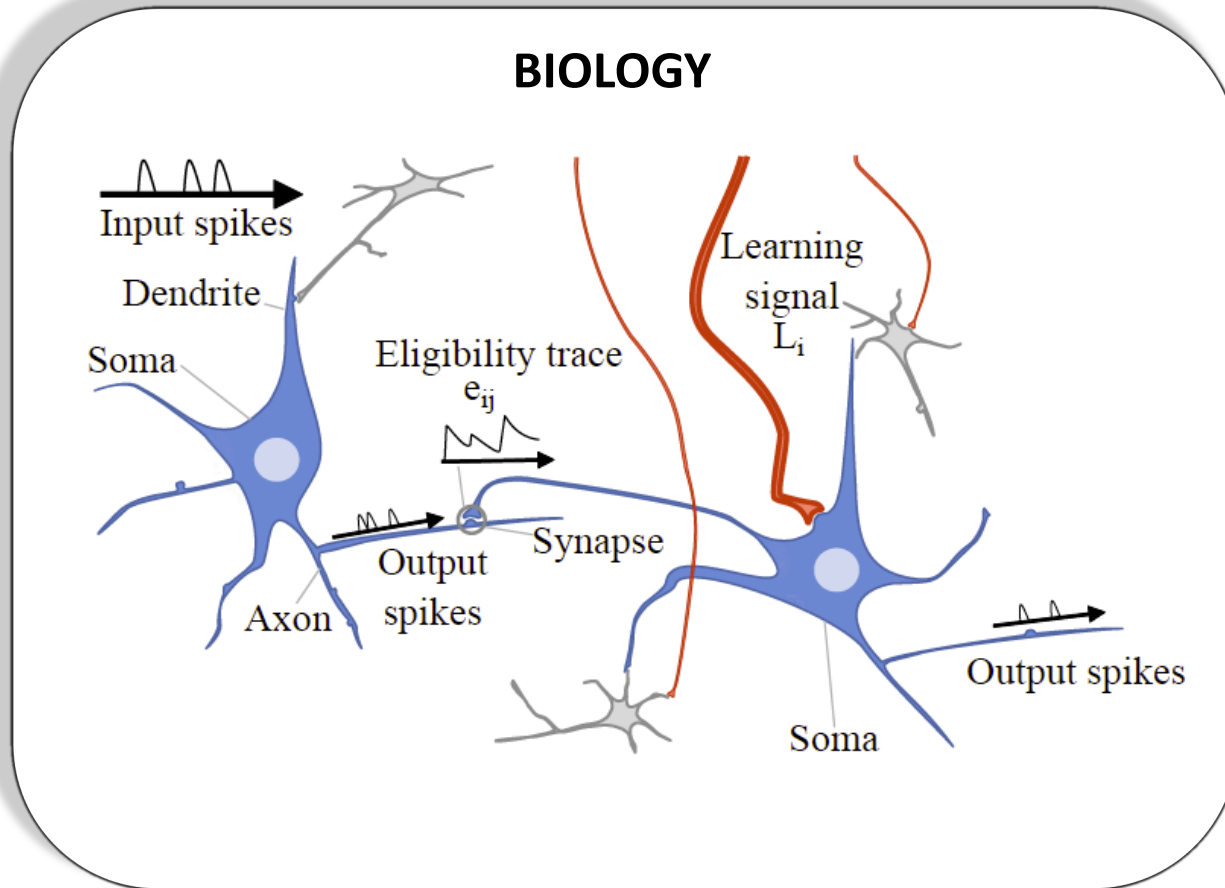


- Input time sequence needs to be truncated
- Normal network operation gets interrupted
- Memory requirement grows with unroll-length



- Low-latency learning algorithm
- Continuous network operation
- Constant memory requirements

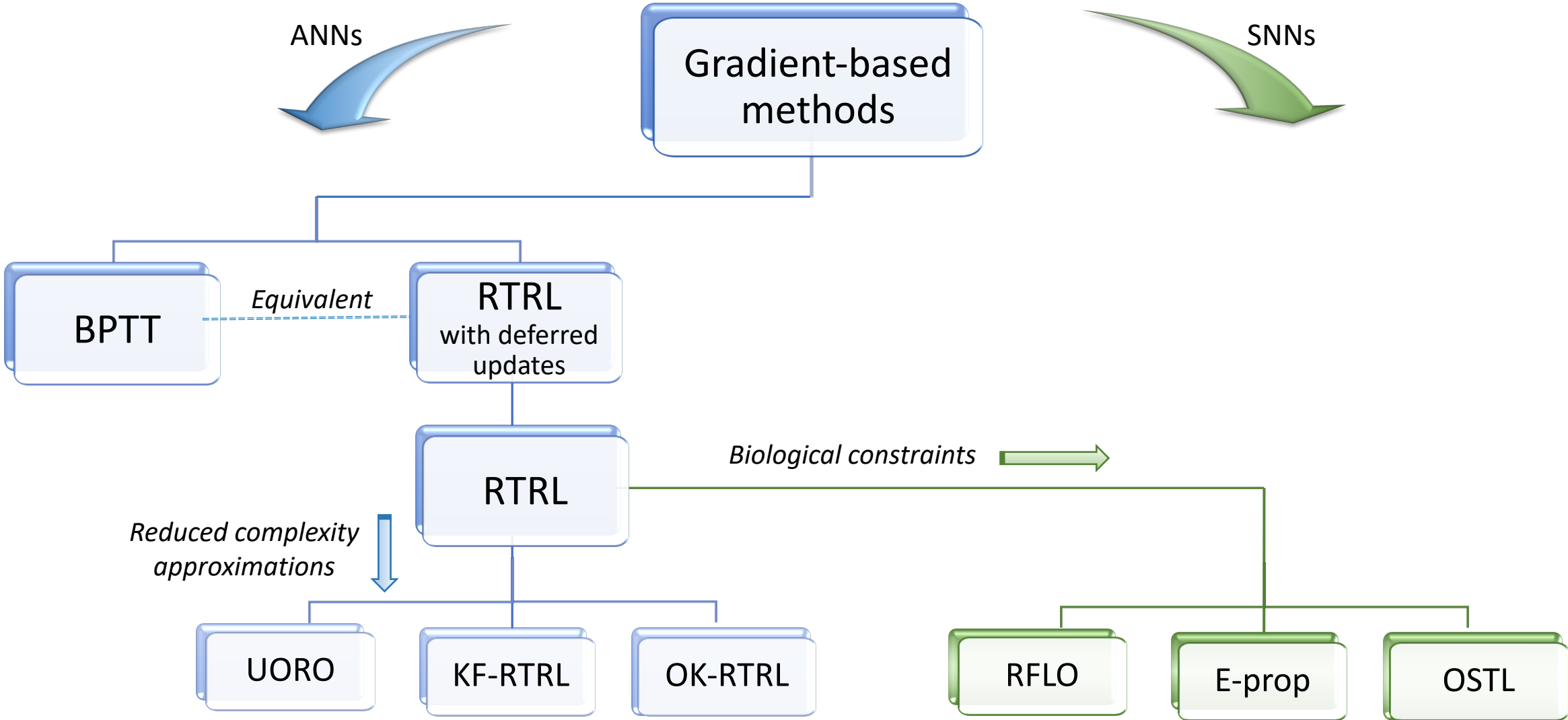
# Eligibility traces and Learning signals



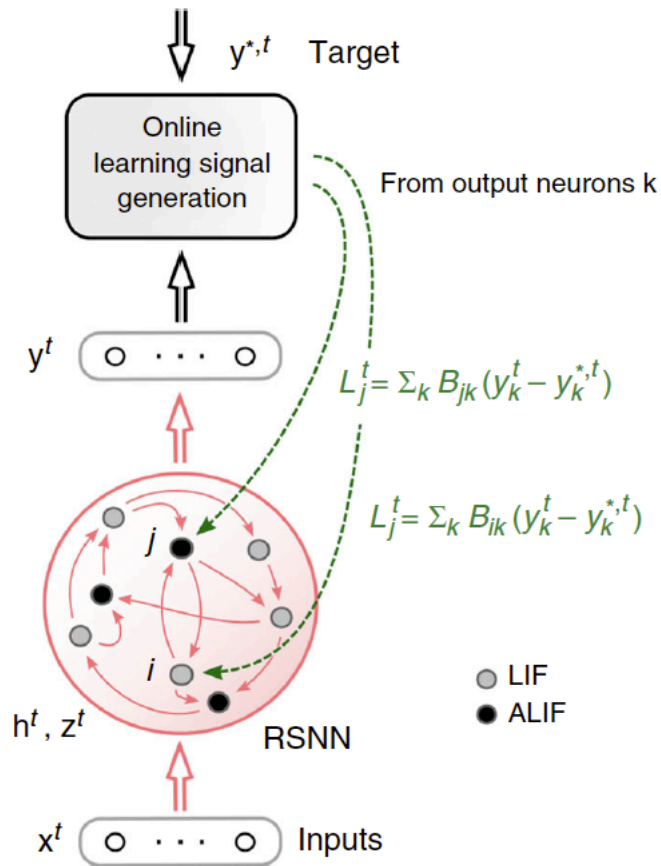
**Eligibility traces ( $e_{ij}$ )** maintain a temporal trace of past neuronal events

**Learning signals ( $L_i$ )** are propagated spatially from different brain regions

# Online learning alternatives to BPTT



# Online learning alternatives to BPTT: E-prop



E-prop is based on a derivation using a local gradient:

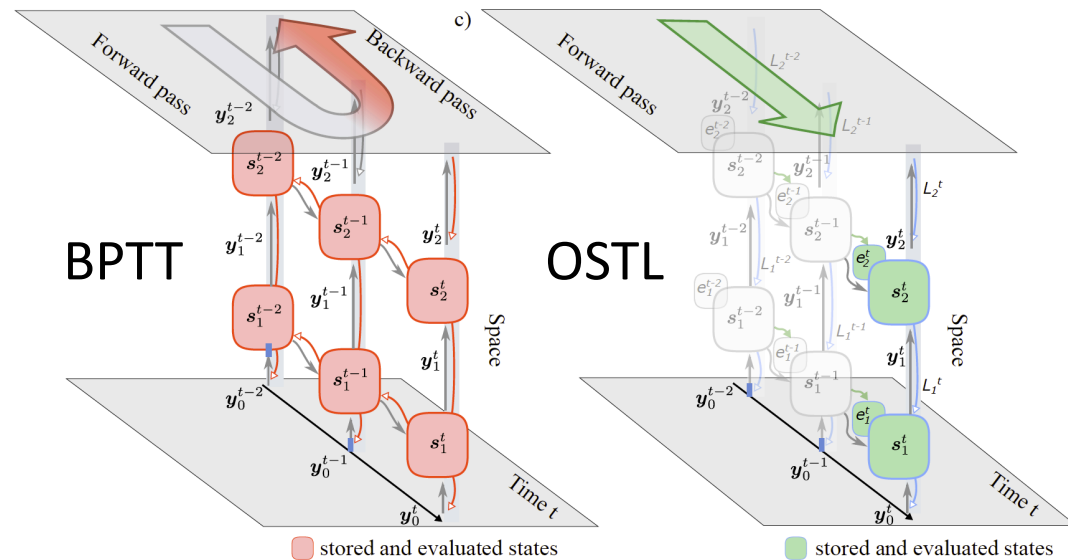
$$\frac{dE}{dW_{ji}} = \sum_t \frac{dE}{dz_j^t} \cdot \left[ \frac{dz_j^t}{dW_{ji}} \right]_{\text{local}}$$

$$\frac{dE}{dW_{ji}} = \sum_t L_j^t e_{ji}^t$$

- Eligibility trace for synapse between neuron i to neuron j at time t:  $e_{ji}^t$
- Learning signal for neuron j at time t:  $L_j^t$

# Online learning alternatives to BPTT: OSTL

**Online Spatio Temporal Learning (OSTL)**  
separates spatial and temporal gradients



OSTL exploits a recursion in BPTT:

$$\begin{aligned}
 \Delta \theta_l &= -\alpha \frac{dE}{d\theta_l} = -\alpha \sum_t \frac{dE^t}{d\theta_l} = -\alpha \sum_t \frac{\partial E^t}{\partial y_k^t} \left[ \frac{\partial y_k^t}{\partial s_k^t} \frac{ds_k^t}{d\theta_l} + \frac{\partial y_k^t}{\partial \theta_l} \right] \\
 &= -\alpha \sum_t \frac{\partial E^t}{\partial y_k^t} \left[ \frac{\partial y_k^t}{\partial s_k^t} \varepsilon_l^{t,\theta} + \frac{\partial y_k^t}{\partial \theta_l} \right] \\
 &= -\alpha \sum_t L_l^t e_l^{t,\theta}
 \end{aligned}$$

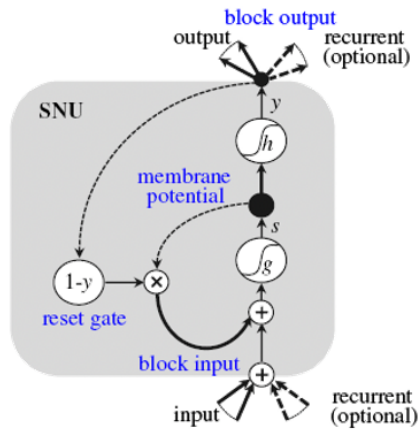
- Learning signal  $L_l^t$  — represents spatial gradients
- Eligibility trace  $e_l^t$  — represents temporal gradients

T. Bohnstingl, et al. *arXiv*, 2020, arXiv:2007.12723v2

**OSTL is gradient-equivalent to BPTT for shallow networks**

# OSTL for spiking neurons

## SNU



$$s_t = g(Wx_t + l(\tau) \odot s_{t-1} \odot (1 - y_{t-1}))$$

$$y_t = h(s_t + b)$$

Eligibility traces and Learning signal for an SNU-based network

$$\epsilon_l^{t,\theta} := \frac{ds_l^t}{d\theta_l} = \left( \frac{ds_l^t}{ds_l^{t-1}} \epsilon_l^{t-1,\theta} + \left( \frac{\partial s_l^t}{\partial \theta_l} + \frac{\partial s_l^t}{\partial y_l^{t-1}} \frac{\partial y_l^{t-1}}{\partial \theta_l} \right) \right)$$

$$e_l^{t,\theta} = \frac{\partial y_l^t}{\partial s_l^t} \epsilon_l^{t,\theta} + \frac{\partial y_l^t}{\partial \theta_l}$$

$$\mathbf{L}_l^t = \frac{\partial E^t}{\partial y_k^t} \left( \prod_{(k-l+1) > m \geq 1} \frac{\partial y_{k-m+1}^t}{\partial s_{k-m+1}^t} \frac{\partial s_{k-m+1}^t}{\partial y_{k-m}^t} \right)$$

OSTL has been derived for deep recurrent networks comprising spiking neurons, LSTMs, GRUs, biological models



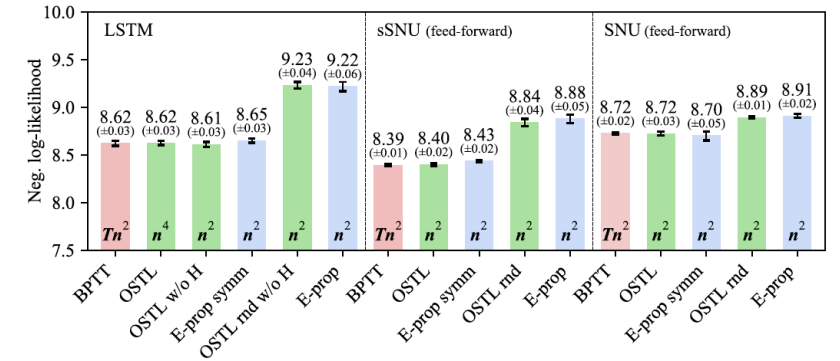
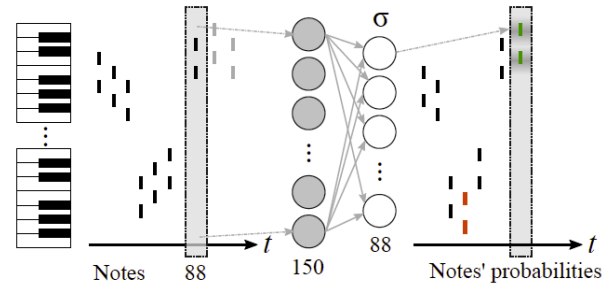
# Comparison of Online Learning Algorithms

ALGORITHM	MEMORY COMPLEXITY	TIME COMPLEXITY	EXACT GRADIENTS (VS. BPTT)	DERIVED FOR
BPTT (UNROLLED FOR $T$ TIME STEPS)	$Tn$	$Tn^2$	✓	RNNs
RTRL WITH DEFERRED UPDATES	$n^3$	$n^4$	✓	RNNs
RTRL	$n^3$	$n^4$	×	RNNs
UORO	$n^2$	$n^2$	×	RNNs
KF-RTRL	$n^2$	$n^3$	×	RNNs
OK-RTRL (FOR $r$ SUMMATION TERMS)	$rn^2$	$rn^3$	×	RNNs
RFLO	$n^2$	$n^2$	×	SNNs & RNNs
SUPERSPIKE (FOR INTEGRATION PERIOD $t$ )	$n^2$	$tn^2$	×	SNNs
E-PROP	$n^2$	$n^2$	×	SNNs & RNNs
<b>OSTL: FEED-FORWARD SNNs (<math>k</math> LAYERS)</b>	$kn^2$	$kn^2$	×	SNNs
<b>OSTL: RECURRENT SNNs (<math>k</math> LAYERS, W/O H)</b>	$kn^2$	$kn^2$	×	SNNs
<b>OSTL: FEED-FORWARD SNNs</b>	$n^2$	$n^2$	✓	SNNs
<b>OSTL: RECURRENT SNNs (W/O H)</b>	$n^2$	$n^2$	×	SNNs
<b>OSTL: GENERIC RNNs (<math>k</math> LAYERS)</b>	$kn^3$	$kn^4$	×	SNNs & RNNs
<b>OSTL: GENERIC RNNs</b>	$n^3$	$n^4$	✓	SNNs & RNNs

# OSTL results

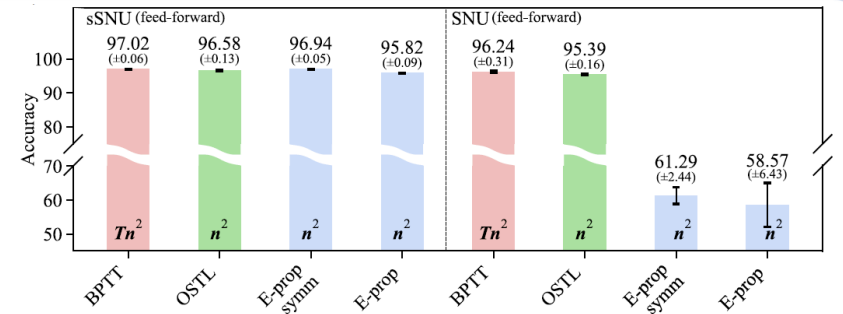
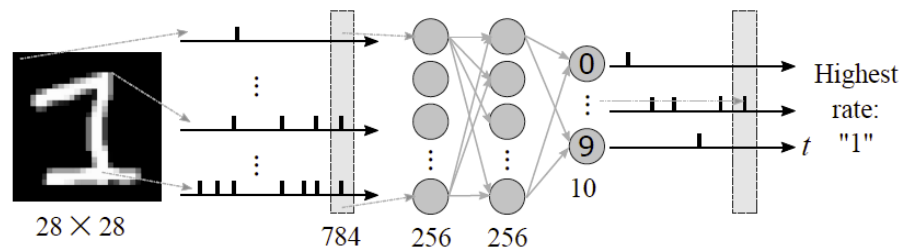
## Music prediction

- Johann Sebastian Bach Chorales dataset
- Prediction of next chords
- Gradient-equivalence to BPTT



## Handwritten digit classification

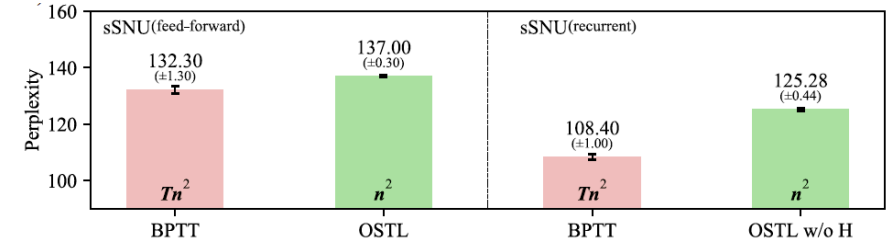
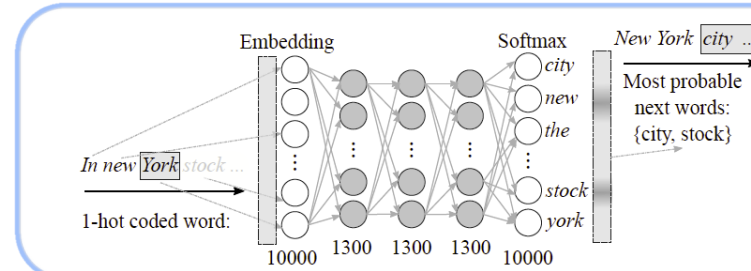
- MNIST Dataset
- Digit classification



# OSTL results

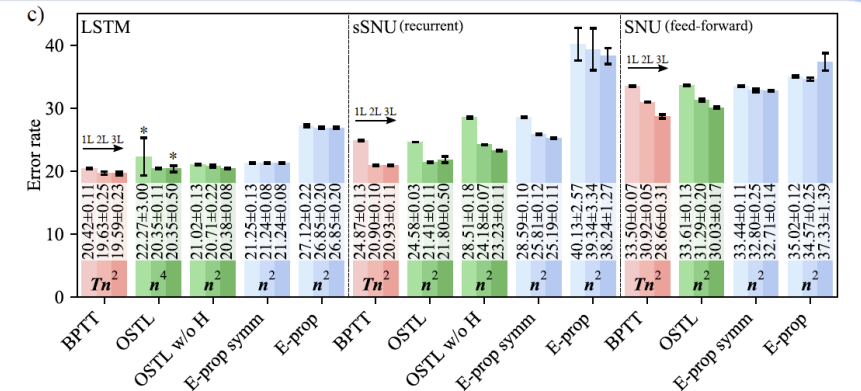
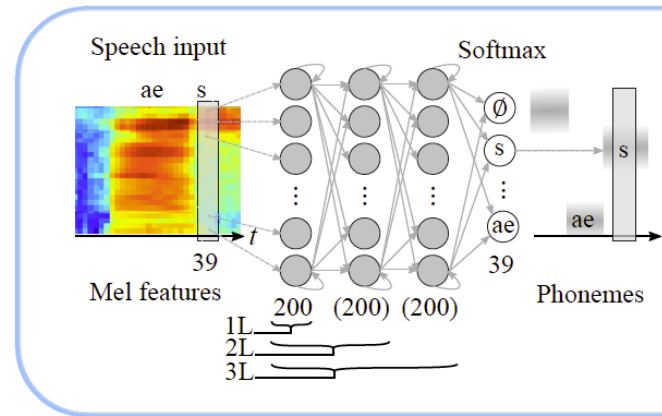
## Word-level language modelling

- Penn Tree Bank Dataset
- Next-word prediction (10k words)



## Speech recognition

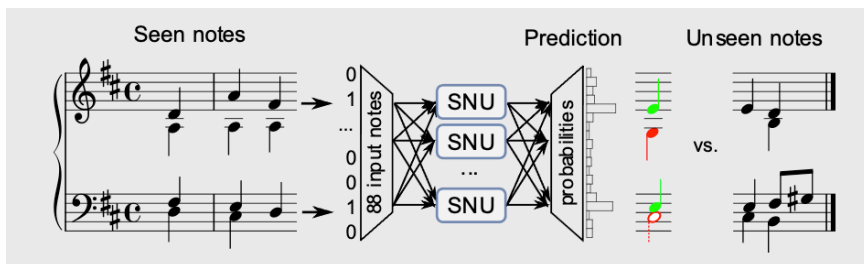
- TIMIT Dataset
- Framewise phoneme classification



# AI Applications

## New features in tasks for classification and prediction

Explore the effect of adaptation dynamics on the learning capability of neural networks



## Adaptive low-power neuromorphic AI machinery

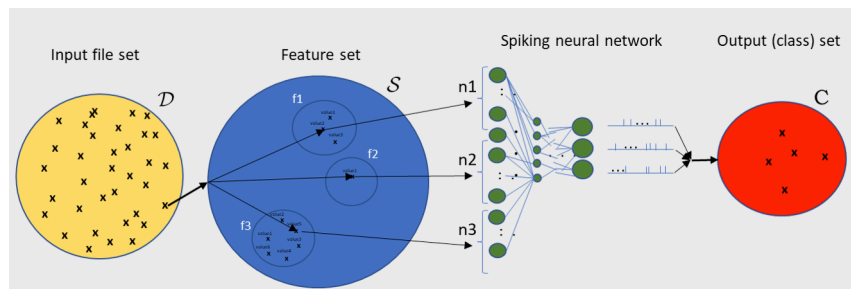
based on SNNs with memristive synapses using L2L  
Proof of concept: real-world robotics environment

## Abstract reasoning

Investigate neural network systems that exhibit analytic intelligence

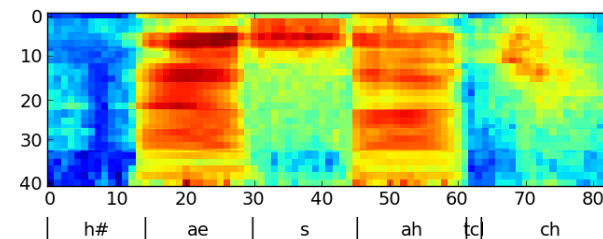
## Real-time classification, storage and recall

Design neural networks for real-time classification of data in resource-constrained environments



## Large-scale application to speech recognition

Go beyond the standard research benchmarks



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