

# Lecture 14: Recursive Types

Polyvios Pratikakis

Computer Science Department, University of Crete

Type Systems and Programming Languages



# Motivation

- Lists, so far
  - ▶ Introduce a type constructor  $List\ T$
  - ▶ Values are either  $nil$  or  $cons(e_{hd}, e_{tl})$
  - ▶ Lists have arbitrary size, but regular structure
- Similarly, queues, binary trees, labeled trees, ASTs, etc
- It is impractical to extend the language with each as an additional primitive type!
- Solution: recursive types



## Example

- Lists of numbers:

$$\mathit{NatList} = \langle \mathit{nil} : \mathit{Unit}, \mathit{cons} : \{\mathit{Nat}, \mathit{NatList}\} \rangle$$

- This equation defines an infinite tree
- To change into a definition, use abstraction

$$\mathit{NatList} = \mu X. \langle \mathit{nil} : \mathit{Unit}, \mathit{cons} : \{\mathit{Nat}, X\} \rangle$$

- $\mu$  is the explicit recursion operator for types
- Intuitively: “ $\mathit{NatList}$  is the type that satisfies the equation  $X = \langle \mathit{nil} : \mathit{Unit}, \mathit{cons} : \{\mathit{Nat}, X\} \rangle$ ”



# Example: Lists

- Lists

- ▶  $nil = \langle nil = () \rangle$  as *NatList*
- ▶  $cons = \lambda x : Nat. \lambda l : NatList. \langle cons = \{x, l\} \rangle$  as *NatList*
- ▶  $isnil = \lambda l : NatList. case\ l\ of\ nil(\_) \Rightarrow true \mid cons(\_) \Rightarrow false$
- ▶  $hd = \lambda l : NatList. case\ l\ of\ nil(\_) \Rightarrow 0 \mid cons(p) \Rightarrow p.1$
- ▶  $tl = \lambda l : NatList. case\ l\ of\ nil(\_) \Rightarrow l \mid cons(p) \Rightarrow p.2$
- ▶  $sum = fix\ \lambda f : NatList \rightarrow Nat. \lambda l : NatList. case\ l\ of\ nil(\_) \Rightarrow 0 \mid cons(p) \Rightarrow p.1 + (f\ p.2)$



# Hungry functions

- A function that can always take more:

$$\mathit{hungry} = \mu X. \mathit{Nat} \rightarrow X$$

- Such a function is a fixpoint (recursive function):

$$f = \mathit{fix} (\lambda f: \mathit{Nat} \rightarrow \mathit{hungry}. \lambda n: \mathit{Nat}. f)$$

- What is the type of  $f\ 1\ 2\ 3\ 4\ 5$  ?



# Streams

- A stream is a function that can return an arbitrary number of values
- Each time it consumes a unit, returns a new value

$$\text{Stream} = \mu X. \text{Unit} \rightarrow \{\text{Nat}, X\}$$

- We can use it like an infinite list
  - ▶ Next item  $\text{hd} = \lambda s : \text{Stream}. (s ()) . 1$
  - ▶ Rest of stream  $\text{tl} = \lambda s : \text{Stream}. (s ()) . 2$
- The stream of all natural numbers:

$$\text{fix } (\lambda f : \text{Nat} \rightarrow \text{Stream}. \lambda n : \text{Nat}. \lambda \_ : \text{Unit}. \{n, f(\text{succ } n)\}) 0$$



# Objects

- Objects can also be recursive types

$$\mathit{Counter} = \mu C. \{ \mathit{get} : \mathit{Nat}, \mathit{inc} : \mathit{Unit} \rightarrow C \}$$

- Unlike last time, this is a functional object: *inc* returns the new object
  - ▶ Java strings are immutable



# Recursive type of fixpoint

- Using recursive types we can type the fixpoint operator

$$\text{fix}_T = \lambda f: T \rightarrow T. \\ (\lambda x: (\mu X. X \rightarrow T). f(x x)) (\lambda x: (\mu X. X \rightarrow T). f(x x))$$

- Without types this is the fixpoint combinator of untyped calculus
- Allows programs to diverge: not strongly normalizing
- A term that doesn't terminate can have any type  $\top$ !
- By Curry-Howard:
  - ▶ All propositions are proved, including false!
  - ▶ The corresponding logic is inconsistent





# Type system

- Two ways to treat recursive types
- Depending on the relation between folded/unfolded type
  - ▶ e.g:  $NatList$  and  $\langle nil : Unit, cons : \{Nat, NatList\} \rangle$
- Implicit fold/unfold, the above types are equal in all contexts
  - ▶ Transparent to the programmer
  - ▶ More complex to write typechecker
  - ▶ All proofs remain the same (except induction on type expressions)
- Explicit fold/unfold using language primitives
  - ▶ Programmer must write fold/unfold primitives to help typechecker
  - ▶ Easier to typecheck
  - ▶ Requires extra proof cases for soundness: fold/unfold



# Type system (cont'd)

- Syntax:

$$\begin{aligned} e &::= \dots \mid \text{fold } [T] e \mid \text{unfold } [T] e \\ v &::= \dots \mid \text{fold } [T] v \\ T &::= \dots \mid X \mid \mu X. T \end{aligned}$$

- Typing

$$[\text{T-FOLD}] \frac{U = \mu X. T \quad \Gamma \vdash e : T[U/X]}{\Gamma \vdash \text{fold } [U] e : U}$$

$$[\text{T-UNFOLD}] \frac{U = \mu X. T \quad \Gamma \vdash e : U}{\Gamma \vdash \text{unfold } [U] e : T[U/X]}$$



# Semantics

$$\frac{}{\text{unfold } [S] (\text{fold } [T] v) \rightarrow v}$$
$$\frac{e \rightarrow e'}{\text{fold } [T] e \rightarrow \text{fold } [T] e'}$$
$$\frac{e \rightarrow e'}{\text{unfold } [T] e \rightarrow \text{unfold } [T] e'}$$
