

Lecture 14: Recursive Types

Polyvios Pratikakis

Computer Science Department, University of Crete

Type Systems and Static Analysis



Motivation

- Lists, so far
 - ▶ Introduce a type constructor $List\ T$
 - ▶ Values are either `nil` or `cons` (e_{hd}, e_{tl})
 - ▶ List have arbitrary size, but regular structure
- Similarly, queues, binary trees, labeled trees, ASTs, etc
- It is impractical to extend the language with each as an additional primitive type!
- Solution: recursive types



Example

- Lists of numbers:

$$NatList = \langle nil : Unit, cons : \{Nat, NatList\} \rangle$$

- This equation defines an infinite tree
- To change into a definition, use abstraction

$$NatList = \mu X. \langle nil : Unit, cons : \{Nat, X\} \rangle$$

- μ is the explicit recursion operator for types
- Intuitively: “ $NatList$ is the type that satisfies the equation $X = \langle nil : Unit, cons : \{Nat, X\} \rangle$ ”



Example: Lists

- Lists

- ▶ $\text{nil} = \langle \text{nil} = () \rangle$ as *NatList*
- ▶ $\text{cons} = \lambda x : \text{Nat} . \lambda l : \text{NatList} . \langle \text{cons} = \{x, l\} \rangle$ as *NatList*
- ▶ $\text{isnil} = \lambda l : \text{NatList} . \text{case } l \text{ of } \text{nil}(_) \Rightarrow \text{true} \mid \text{cons}(_) \Rightarrow \text{false}$
- ▶ $\text{hd} = \lambda l : \text{NatList} . \text{case } l \text{ of } \text{nil}(_) \Rightarrow 0 \mid \text{cons}(p) \Rightarrow p.1$
- ▶ $\text{tl} = \lambda l : \text{NatList} . \text{case } l \text{ of } \text{nil}(_) \Rightarrow l \mid \text{cons}(p) \Rightarrow p.2$
- ▶ $\text{sum} = \text{fix } \lambda f : \text{NatList} \rightarrow \text{Nat} . \lambda l : \text{NatList} .$
 $\text{case } l \text{ of } \text{nil}(_) \Rightarrow 0 \mid \text{cons}(p) \Rightarrow p.1 + (f\ p.2)$



Hungry functions

- A function that can always take more:

$$hungry = \mu X. Nat \rightarrow X$$

- Such a function is a fixpoint (recursive function):

$$f = \text{fix } (\lambda f: Nat \rightarrow hungry. \lambda n: Nat. f)$$

- What is the type of $f\ 1\ 2\ 3\ 4\ 5\ ?$



Streams

- A stream is a function that can return an arbitrary number of values
- Each time it consumes a unit, returns a new value

$$Stream = \mu X. Unit \rightarrow \{Nat, X\}$$

- We can use it like an infinite list
 - ▶ Next item $hd = \lambda s : Stream. (s()).1$
 - ▶ Rest of stream $tl = \lambda s : Stream. (s()).2$
- The stream of all natural numbers:

$$\text{fix } (\lambda f : Nat \rightarrow Stream. \lambda n : Nat. \lambda _ : Unit. \{n, f(\text{succ } n)\}) 0$$



Objects

- Objects can also be recursive types

$$\text{Counter} = \mu C. \{ \text{get} : \text{Nat}, \text{inc} : \text{Unit} \rightarrow C \}$$

- Unlike last time, this is a functional object: *inc* returns the new object
 - ▶ Java strings are immutable



Recursive type of fixpoint

- Using recursive types we can type the fixpoint operator

$$\text{fix}_T = \lambda f: T \rightarrow T. \\ (\lambda x: (\mu X. X \rightarrow T). f(x\ x)) (\lambda x: (\mu X. X \rightarrow T). f(x\ x))$$

- Without types this is the fixpoint combinator of untyped calculus
- Allows programs to diverge: not strongly normalizing
- A term that doesn't terminate can have any type $T!$
- By Curry-Howard:
 - ▶ All propositions are proved, including false!
 - ▶ The corresponding logic is inconsistent



Type system

- Two ways to treat recursive types
- Depending on the relation between folded/unfolded type
 - ▶ e.g: *NatList* and $\langle nil : Unit, cons : \{Nat, NatList\} \rangle$
- Implicit fold/unfold, the above types are equal in all contexts
 - ▶ Transparent to the programmer
 - ▶ More complex to write typechecker
 - ▶ All proofs remain the same (except induction on type expressions)
- Explicit fold/unfold using language primitives
 - ▶ Programmer must write fold/unfold primitives to help typechecker
 - ▶ Easier to typecheck
 - ▶ Requires extra proof cases for soundness: fold/unfold



Type system (cont'd)

- Syntax:

$$\begin{aligned}e &::= \dots \mid \text{fold } [T] \ e \mid \text{unfold } [T] \ e \\v &::= \dots \mid \text{fold } [T] \ v \\T &::= \dots \mid X \mid \mu X. T\end{aligned}$$

- Typing

$$[\text{T-FOLD}] \frac{U = \mu X. T \quad \Gamma \vdash e : T[U/X]}{\Gamma \vdash \text{fold } [U] \ e : U}$$

$$[\text{T-UNFOLD}] \frac{U = \mu X. T \quad \Gamma \vdash e : U}{\Gamma \vdash \text{unfold } [U] \ e : T[U/X]}$$



Semantics

$$\frac{}{\text{unfold } [S] (\text{fold } [T] v) \rightarrow v}$$

$$\frac{e \rightarrow e'}{\text{fold } [T] e \rightarrow \text{fold } [T] e'}$$

$$\frac{e \rightarrow e'}{\text{unfold } [T] e \rightarrow \text{unfold } [T] e'}$$

