

HY463 - Συστήματα Ανάκτησης Πληροφοριών Information Retrieval (IR) Systems

#### Στατιστικά Κειμένου και Συμπίεση Κειμένου

### Γιάννης Τζίτζικας

Διάλεξη : 13 Ημερομηνία : 14-4-2006

CS463 - Information Retrieval Systems

Yannis Tzitzikas, U. of Crete, Spring 2006



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HY463 - Συστήματα Ανάκτησης Πληροφοριών Information Retrieval (IR) Systems

> Στατιστικά Κειμένου Text Statistics

## Γιάννης Τζίτζικας

Διάλεξη : 13a Ημερομηνία : 14-4-2006

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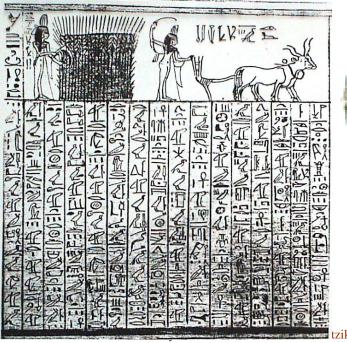
- Συχνότητα Εμφάνισης Λέξεων
- Ο Νόμος του Zipf
- Ο Νόμος του Heaps

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Κείμενο

Starting with hieroglyphs, the first written surfaces (stone, wood, animal skin, papyrus and rice paper), and paper, text has been created everywhere, in many forms and languages.







- How is the frequency of different words distributed?
- How fast does vocabulary size grow with the size of a corpus?
- Such factors affect the performance of information retrieval and can be used to select appropriate term weights and other aspects of an IR system.

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Συχνότητα Λέξεων

- A few words are very common.
  - 2 most frequent words (e.g. "the", "of") can account for about 10% of word occurrences.
- Most words are very rare.
  - Half the words in a corpus appear only once, called *hapax legomena* (Greek for "read only once")
- Called a "heavy tailed" distribution, since most of the probability mass is in the "tail"



Frequent	Number of	Percentage
Word	Occurrences	of Total
the	7,398,934	5.9
of	3,893,790	3.1
to	3,364,653	2.7
and	3,320,687	2.6
in	2,311,785	1.8
is	1,559,147	1.2
for	1,313,561	1.0
The	1,144,860	0.9
that	1,066,503	0.8
said	1,027,713	0.8

Frequencies from 336,310 documents in the 1GB TREC Volume 3 Corpus 125,720,891 total word occurrences; 508,209 unique words

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## Ο νόμος του Ζipf

- **Rank** *r*: The numerical position of a word in a list sorted by decreasing frequency (*f*).
- *Zipf* (1949) "discovered" that:

 $f \cdot r = k$  (for constant k)

$$- f1 * 1 = k$$

$$- f3 * 3 = k$$

 $= f1 * 1 = f1 \iff fi = f1 / i$ 

- <u>Η συχνότητα της i-th πιο συχνά εμφανιζόμενης λέξης είναι 1/i</u> φορές η συχνότητα της πιο συχνής.
- Πιο ακριβές: 1/i<sup>θ</sup> όπου θ μεταξύ 1.5 και 2

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Frequent	Number of	Percentage	
Word	Occurrences	of Total	
the	7,398,934	5.9	•1 * 5.9 = 5.9
of	3,893,790	3.1	•2 * 3.1 = 6.2
to	3,364,653	2.7	•3 * 2.7 = 8.1
and	3,320,687	2.6	•4 * 2.6 = 10.4
in	2,311,785	1.8	•5 * 1.8 = 9
is	1,559,147	1.2	•6 * 1.2 = 7.2
for	1,313,561	1.0	•7 * 1 =7
The	1,144,860	0.9	•8 * 0.9 =7.2
that	1,066,503	0.8	•9 * 0.8 =7.2
said	1,027,713	0.8	•

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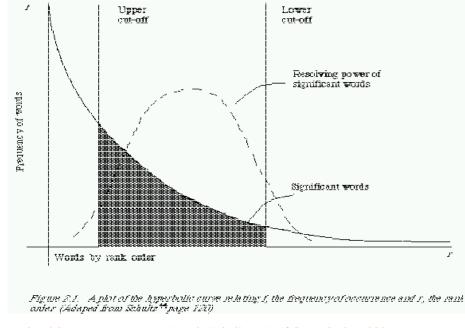


## Zipf's Law Impact on IR

- Good News: Stopwords will account for a large fraction of text so eliminating them greatly reduces inverted-index storage costs.
- Bad News: For most words, gathering sufficient data for meaningful statistical analysis (e.g. for correlation analysis for query expansion) is difficult since they are extremely rare.



 Luhn (1958) suggested that both extremely common and extremely uncommon words were not very useful for indexing.



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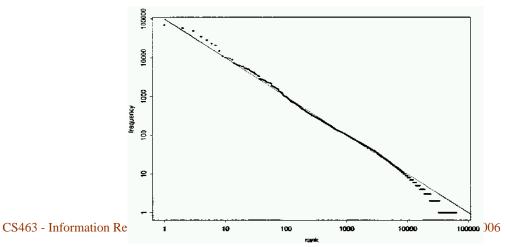
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# Does Real Data Fit Zipf's Law?

- A law of the form  $y = kx^c$  is called a power law.
- Zipf's law (fi=f1/i) is a power law with c = -1
- On a log-log plot, power laws give a straight line with slope c.

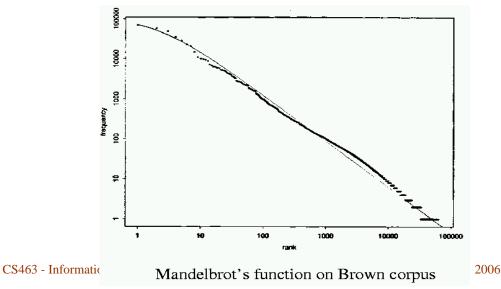
$$\log(y) = \log(kx^{c}) = \log k + c \log(x) = \log k - \log(x)$$

Zipf is quite accurate except for very high and low rank.





- Ziph's Law:  $fi = f1/i^{\theta}$
- Mandelbrot correction: fi= f1\*k/(c+i)<sup>θ</sup>
  - c: parameter
  - k: so that all frequencies add to N
  - This formula fits better with the read texts



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## Explanations for Zipf's Law

 Zipf's explanation was his "principle of least effort." Balance between speaker's desire for a small vocabulary and hearer's desire for a large one.

Η επανάληψη λέξεων είναι ευκολότερη από την επινόηση/χρήση νέων

- Debate (1955-61) between Mandelbrot and H. Simon over explanation.
- Li (1992) shows that just random typing of letters including a space will generate "words" with a Zipfian distribution.
  - http://linkage.rockefeller.edu/wli/zipf/



- How does the size of the overall vocabulary (number of unique words) grow with the size of the corpus?
- This determines how the size of the inverted index will scale with the size of the corpus.
- Vocabulary not really upper-bounded due to proper names, typos, etc.

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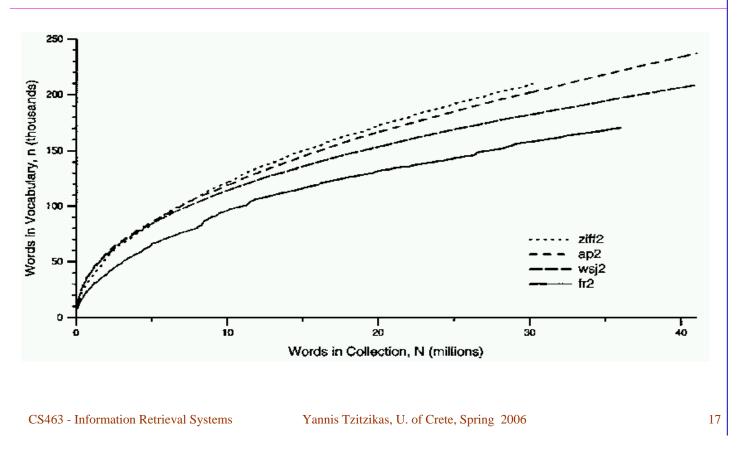
• If *V* is the size of the vocabulary and the *n* is the length of the corpus in words:

$$V = Kn^{\beta}$$
 with constants  $K, 0 < \beta < 1$ 

Typical constants:

- $K \approx 10 100$
- $\beta \approx 0.4$ --0.6 (approx. square-root)

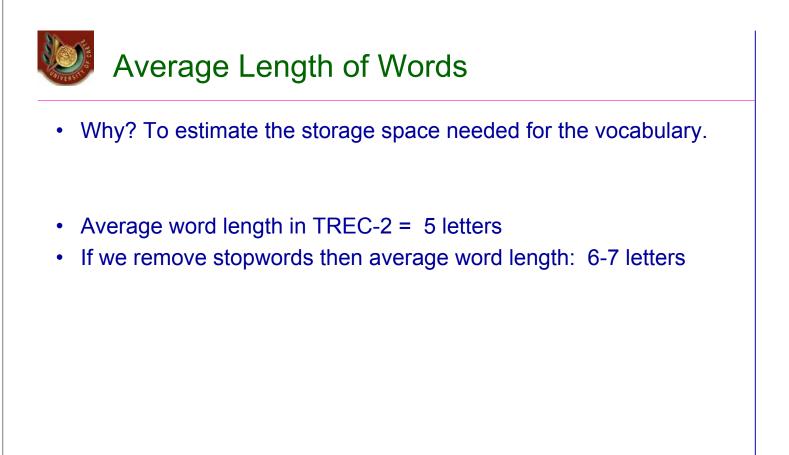






## Explanation for Heaps' Law

 Can be derived from Zipf's law by assuming documents are generated by randomly sampling words from a Zipfian distribution.



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- Σνωμφύα με μια ένυερα του Κέμπριτζ η σιερά των γμμάαρωτν σε μια λξέη δεν έεχι σησίμαα. Ακρεί το πώτρο και το ταίυελετο γμαράμ να είανι στη σστωή σεριά.
- Σύμφωνα με μια έρευνα του Κέμπριτζ η σειρά των γραμμάτων σε μια λέξη δεν έχει σημασία. Αρκεί το πρώτο και το τελευταίο γράμμα να είναι στη σωστή σειρά.



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### Συμπίεση Κειμένου Text Compression

#### Γιάννης Τζίτζικας

Διάλεξη : 13b Ημερομηνία : 14-3-2006

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Διάρθρωση Διάλεξης

- Εισαγωγή
- Βασικές Έννοιες
- Στατιστικές Τεχνικές Συμπίεσης
- Τεχνικές Συμπίεσης Λεξικού (Dictionary)
- Συμπίεση Ανεστραμμένου Ευρετηρίου
- Αναζήτηση σε συμπιεσμένα
  - αρχεία
  - ανεστραμμένα ευρετήρια
  - suffix trees and arrays
  - signature files
- ΗΥ438 (Συμπίεση Δεδομένων και Σημάτων)



- Encoding transforms data from one representation to another
- *Compression* is an encoding that takes less space
- Lossless: decoder can reproduce message exactly
- Lossy: can reproduce message approximately
- Degree of compression: (Original Encoded) / Encoded
  - example: (125 MB 25 MB) / 25 MB = 400%
- Compression ratio: the size of the compressed file as a fraction of the uncompressed file
  - example: 25MB/125 MB = 0.2
    - » (compressed size) = 0.2 (original size)

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## Συμπίεση

#### Advantages of Compression

- Save space in memory (e.g., compressed cache)
- Save space when storing (e.g., disk, CD-ROM)
- Save time when accessing (e.g., I/O)
- Save time when communicating (e.g., over network)

#### Disadvantages of Compression

- Costs time and computation to compress and uncompress
- Complicates or prevents random access
- May involve loss of information (e.g., JPEG)
- Makes data corruption *much* more costly. Small errors may make all of the data inaccessible.

## 👂 Παραδείγματα Τεχνικών Συμπίεσης

#### **Generic File Compression**

- files: gzip, bzip, BOA
- archivers: ARC, PKZip
- file systems: NTFS

#### Communication

- Fax: ITU-T Group 3
- Modems: V.42bis protocol, MNP5

#### **Multimedia**

- Images: gif, jbig, jpeg-ls, jpeg
- TV: HDTV (mpeg-4)
- Sound: mp3

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## Συμπίεση Κειμένου

- Text Compression vs Data Compression
  - Text compression predates most work on general data compression.
  - Text compression is a kind of data compression <u>optimized for text</u> (i.e., based on a language and a language model).
  - Text compression can be faster or simpler than general data compression, because of assumptions made about the data.
  - Text compression assumes a language and language model;
  - Data compression learns the model on the fly.
  - Text compression is effective when the assumptions are met;
  - Data compression is effective on almost any data with a skewed distribution.

# Διάκριση Τεχνικών Συμπίεσης

#### (A) Στατιστικές τεχνικές (statistical)

- βασίζονται σε εκτιμήσεις όσον αφορά την <u>πιθανότητα εμφάνισης</u> των συμβόλων
- όσο πιο ακριβείς είναι αυτές οι εκτιμήσεις τόσο καλύτερη συμπίεση επιτυγχάνεται
- παραδείγματα τέτοιων τεχνικών:
  - Huffman coding
  - Arithmetic coding

#### (B) Τεχνικές βάσει Λεξικού (dictionary-based)

- αντικαθιστούν μια ακολουθία συμβόλων με έναν δείκτη προς μια προηγούμενη εμφάνιση της ακολουθίας
- παραδείγματα τέτοιων τεχνικών:
  - Ziv-Lempel family

- They can compress English text to less than 4 bits per character CS463 - Information Retrieval Systems Yannis Tzitzikas, U. of Crete, Spring 2006

## Βασικές Έννοιες

- A *symbol* can be a character, a text word, or a fixed number of characters.
- Alphabet: the set of all possible symbols in the text
- Modeling: the task of estimating the probability of each next symbol
- Model: a collection of probability distributions, one for each context in which a symbol can be coded
- Coding: The conversion of symbols to binary digits
- Decoding: Reconstruction of the original text (using the same model)

# 🧼 (Α) Στατιστικές Τεχνικές: Εισαγωγή (Ι)

### Huffman Coding

- Ιδέα:
  - Κωδικοποιεί με λιγότερα bits τα σύμβολα με μεγάλη πιθανότητα εμφάνισης
- Αποτελεσματικότητα:
  - They are able to compress English text to approximately <u>5 bits per character</u> (instead of the usual 7-8)
- Word-based Huffman
  - They are able to compress English text to approximately 2 bits per character

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Στατιστικές Τεχνικές: Εισαγωγή (ΙΙ)

### Arithmetic Coding

- Ιδέα:
  - <u>Computes the code incrementally</u>, one symbol at a time, as opposed to Huffman coding scheme in which each different symbol is pre-encoded using a fixed-length number of bits.
- Αποτελεσματικότητα
  - They can compress English text to just over 2 bits per character
- Αδυναμία
  - The incremenal nature <u>does not allow decoding a string which starts in the</u> <u>middle of the compressed file</u>. This requires <u>decoding the whole text</u> from the beginning until the desired word. This makes arithmetic coding inadequate for use in IR environment.



### Στατιστικές Τεχνικές: The Lower Bound of Compression

In an optimal encoding scheme, a symbol that is expected to occur with probability p should be assigned a code of length log<sub>2</sub>1/p bits.

[Shannon]

- The number of bits in which a symbol is best coded represents the *information content* of the symbol
- Παραδείγματα

•p=1 →  $\log_2 1/1 = 0$ •p=1/2 →  $\log_2 1/(1/2) = \log_2 2 = 1$ •p=1/4 →  $\log_2 1/(1/4) = \log_2 4 = 2$ •Eotw A(1/2), B(1/4), C(1/4) • |code(A)|=1, |code(B)|=2, |code(C)|=2• code(A)=1, code(B)=00, code(C)=01CS463 - Information Retrieval Systems Yannis Tzitzikas, U. of Crete, Spring 2006

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#### Στατιστικές Τεχνικές: The Lower Bound of Compression (II)

 The average amount of information per symbol over the whole alphabet is called the *entropy* of the probability distribution, given by:

 $- E = \Sigma pi \log_2 1/pi$ 

 E is a *lower bound* on compression, measured in <u>bits per symbol</u>, which applies to any coding method based on the probability distribution pi.

#### Παράδειγμα

- A(1/2), B(1/4), C(1/4), cod(A)=1, code(B)=00, code(C)=01 E = 1/2\*1 + 1/4\*2 + 1/4\*2 = **1.5**
- A(1/2), B(1/2) cod(A)=1, code(B)=0
  - E = 1/2\*1 + 1/2\*1 = **1**



- Σκοπός
  - provide a probability assignment for the next symbol to be coded.
  - High compression can be obtained by forming good models

#### • Διάκριση μοντέλων

- (m1) Adaptive
- (m2) Static
- (m3) Semi-static

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Στατιστικές Τεχνικές>Modeling: (m1) **Adaptive Models** 

- Start with no information about the text and progressively learn about its statistical distribution as the compression process goes on
- Thus, adaptive models need only <u>one pass</u> over the text and <u>store</u> <u>no additional information</u> apart from the compressed text
- For long enough texts, these models converge to the true statistical distribution of the text
- Disadvantage:
  - The <u>decompression of a file has to start from its beginning</u> (since information on the distribution of the data is stored incrementally inside the file)
  - <u>Inadequate for full-text retrieval</u> where random access to compressed patterns is a must



#### Στατιστικές Τεχνικές>Modeling: (m2) **Static Models**

- They assume an average distribution for all input texts
- The modeling phase is done only once for all texts to be coded in the future
- They tend to achieve poor compression ratios when the data devites from initial statistical assumptions
  - e.g. a model adequate for English literary texts will probably perform poorly for financial texts containing a lot of different numbers, as each number is relatively rare and so receives long codes

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Στατιστικές Τεχνικές>Modeling: (m3) **Semi-static Models** 

- They do not assume any distribution on the data, but <u>learn it in a</u> <u>first pass.</u>
- In a <u>second pass</u>, they compress the data using a fixed code derived from the distribution learned from the first pass.
- At decoding time, information on the data distribution is sent to the decoder before transmitting the encoded symbols.
- Disadvantages:
  - they must make 2 passes
  - the information on the <u>data distribution must be stored</u> to be used by the decoder to decompress
- Advantage for IR:
  - since the same codes are used at every point in the compressed file, <u>direct</u> <u>access is possible</u>.



#### Στατιστικές Τεχνικές>Modeling: Word-based Models

- They take words instead of characters as symbols.
- Advantages of IR:
  - they achieve higher compression rates
  - words are the atoms on which most IRS are built
  - words are <u>already stored</u> for indexing purposes (inverted files) and so might be used as <u>part of the model for compression</u>
  - word frequencies are also useful in answering queries involving combinations of words because the best strategy is to start with the least frequent words first

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### Στατιστικές Τεχνικές> Coding

- Coding is the task of obtaining the representation (code) of a symbol based on a probability distribution given by a model.
- Design goals
  - assign short codes to likely codes and long codes to unlikely ones
  - coding and decoding speed
- As the entropy of a probability distribution is a lower bound on how short the average length of a code can be, the quality of a coder is measured in terms of how close to the entropy it is able to get



### Στατιστικές Τεχνικές> Coding Semi-Static Huffman

- <u>First pass</u>: the modeler determines the <u>probability distribution</u> of the symbols and builds a coding tree
- <u>Second pass</u>: each next symbol is <u>encoded</u> according to the coding tree
- Compression is achieved by assigning shorter codes to more frequent symbols.
  - Huffman codes
    - Invented by Huffman as a class assignment in 1950.
    - Used in many, if not most compression algorithms: gzip, bzip, jpeg (as option), fax compression,...
- Decompression uniqueness is guaranteed because <u>no code is a</u> prefix of another

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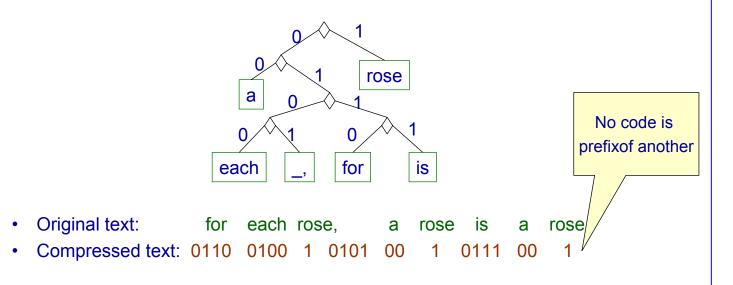
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### Στατιστικές Τεχνικές> Coding Semi-Static Huffman: Example

- Text: «for each rose, a rose is a rose»
- Frequencies: «rose»(3), «a»(2), «for»(1), «each»(1), «,»(1), «is»(1)
- Huffman tree: binary trie built on binary codes





### Στατιστικές Τεχνικές> Coding Semi-Static Huffman: Building the Huffman Tree

(1) For each symbol of the alphabet a node containing the symbol and its probability is created

At this point we have a forest of one-node trees whose probabilities sum up to 1

- (2) The two nodes with the <u>smallest probabilities</u> become children of a newly created parent node. To this node with associate with the <u>sum</u> of the probabilities of its children
- (3) The operation is repeated ignoring nodes that are already children, until there is only one node which becomes the root of the tree.

Notes:

- By delaying the pairing of nodes with high probabilities, the algorithm necessarily places them closer to the root node, making their code smaller
- The two branches from every internal node are consistently labeled 0 and 1
- Given s symbols and their frequencies in the text, the algorithm build the Huffman tree in O(s log s) time.

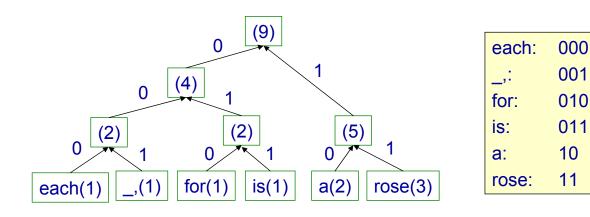
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### Στατιστικές Τεχνικές> Coding Semi-Static Huffman: Building the Huffman Tree

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- Frequencies: «rose»(3), «a»(2), «for»(1), «each»(1), «,»(1), «is»(1)





### Στατιστικές Τεχνικές> Coding Semi-Static Huffman: Canonical Tree

- Motivation:
  - The number of Huffman trees which can be built for a given probability distribution is large:
  - This is because interchanging left and right subtrees of any internal node results in a different tree whenever the two subtrees are different in structure, but the weighted average code length is not affected
  - Instead of using any kind of tree, the preferred choice for most applications is to adopt a **canonical tree** which imposes a particular order to the coding bits.

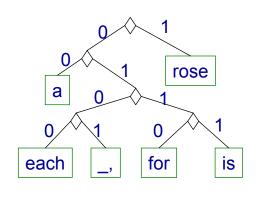
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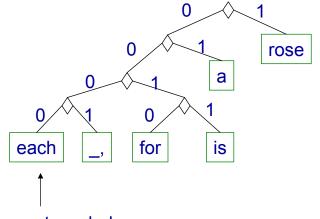
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### Στατιστικές Τεχνικές> Coding Semi-Static Huffman: Canonical Tree (II)

• A Huffman tree is canonical when the height of the left subtree of any node is never smaller than that of the right subtree, and all leaves are in increasing order of probabilities from left to right





### Στατιστικές Τεχνικές> Coding Semi-Static Huffman: Encoding & Decoding

**Encoding**: <u>Start at leaf</u> of Huffman tree and follow path to the root. Reverse order of bits and send.

**Decoding**: <u>Start at root</u> of Huffman tree and take branch for each bit received. When at leaf can output message and return to root The stream of bits in the compressed file is traversed from left to right

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Στατιστικές Τεχνικές> Coding: Semi-Static Huffman: Byte-Oriented Huffman Code

- Huffman tree with degree 256 instead of 2
- Typically, the code assigned to each symbol contains between 1 and 5 bytes

# Στατιστικές Τεχνικές> Coding: Semi-Static Huffman: Remarks

- Huffman coding allows perfoming direct searching on compressed text.
- The exact search can be done on the compressed text directly, using any known sequential pattern matching algorithm
  - The algorithms are discussed later on (see also MIR 8.8)
- For approximate searching on the compressed text it is eight times faster than an equivalent approximate searching on the uncompressed text.

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## Other techniques

## Example Techniques

- Restricted Variable-Length Codes
  - Use first bit to indicate case.
  - 8 most frequent characters fit in 4 bits (0xxx).
  - 128 less frequent characters fit in 8 bits (1xxxxxx)
  - In English, 7 most frequent characters are 65% of occurrences
  - Expected code length is approximately 5.4 bits per character, for a 32.8% compression ratio.
- Restricted Var-Length: Generalization for More Symbols
  - Use more than 2 cases.
  - 1xxx for  $2^3 = 8$  most frequent symbols, and
  - 0xxx1xxx for next  $2^6 = 64$  symbols, and
  - 0xxx0xxx1xxx for next  $2^9 = 512$  symbols, and
  - Average code length ~ 6.2 bits per symbol (23.0%) compression ratio.
  - Pro: Variable number of symbols. Con: Only 72 symbols in 1 byte.

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## **Dictionary Methods**



- They achieve compression by <u>replacing</u> groups of <u>consecutive</u> <u>symbols (or phrases)</u> with a *pointer* to an entry in a **dictionary**
- Thus, the central decision in the design of a dictionary method is the <u>selection</u> of entries in the dictionary.
- The choice of phrases can be made by
  - static,
  - semi-adaptive, or
  - adaptive algorithms

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Dictionary Methods> Static Dictionaries

- The simplest dictionary schemes use static dictionaries containing short phrases
- Example: Digram Coding
  - Idea: selected pairs of letters are replaced with codewords
  - at each step the next two characters are inspected and verified if they correspond to a digram in the dictionary
  - If so, they are coded together and the coding position is shifted by two characters; otherwise, the single character is represented by its normal code and the coding position is shifted by one character
- Weaknesses:
  - The dictionary may be suitable for one text and unsuitable for another.



### Dictionary Methods> Semi-Static and Adaptive Dictionaries

- Construct a new dictionary for each text to be compressed
- The problem of deciding which phrases to put in the dictionary is not an easy task
- Adaptive Dictionaries (Ziv-Lempel)
  - Idea: Replace strings of characters with a reference to a previous occurrence of the string.
  - This approach is effective because most characters can be coded as part of a string that has occurred earlier in the text
  - If the pointer to an earlier occurrence of a string is stored in fewer bits than the string it replaces, then compression is achieved
- Disadvanteages of Adaptive Dictionaries
  - they do not allow decoding to start in the middle of the compressed file (so, direct access is not possible unless we decode the text from its beginning)

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### Adaptive Dictionary Methods> Lempel-Ziv Compression Algorithms

- Use the text already encountered to build the dictionary.
  - If text follows Zipf's laws, a good dictionary is built.
  - No need to store dictionary; encoder and decoder each know how to build it on the fly.
- Some variants: LZ77, Gzip, LZ78, LZW, Unix compress
- Variants differ on:
  - how dictionary is built,
  - how pointers are represented (encoded), and
  - limitations on what pointers can refer to.

## Adaptive Dictionary Methods> LZ77(LZ1)

Data is encoded as a sequence of tuples:

#### <Number of characters back, Length, Next character>

- Example:
  - abaababbbbbbbbbbb • String:
  - Encoding: <0,0,a> <0,0,b> <2,1,a> <3,2,b> <1,10,a>
  - Encoding: <0,0,a>
  - String:
  - а Encoding: <0,0,a> <0,0,b>
  - String: ab
  - Encoding: <0,0,a> <0,0,b> <2,1,a>
  - String: abaa
  - Encoding: <0,0,a> <0,0,b> <2,1,a> <3,2,b>
  - String: abaabab
  - Encoding: <0,0,a> <0,0,b> <2,1,a> <3,2,b><1,10,a>
  - abaababbbbbbbbbbb • String:

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### Adaptive Dictionary Methods> LZ77(LZ1)

- Optimizations:
  - Limit size of back-pointers, e.g., 8K (13 bits).
  - Restrict length of phrases, e.g., 15 characters (4 bits).
  - Variable-length encode pointers and length.
- Encoding data structures: •
  - Trie, hash table, or binary search tree.
- Characteristics:
  - Very fast decoding.
  - Low memory overhead.
  - Decoder is sufficiently small to include in compressed data.
    - Self expanding archives, typically found on PCs.



#### • Gzip is a variant of LZ77

- Encoder locates previous strings using a hash table (three characters), then a linked list
- User preferences (speed vs space) determine list length
- For maximal compression, uses lookahead instead of simple greedy search for string matches
- Uses one Huffman for offsets, another for lengths and characters
- Huffman codes are semi-static:
  - Text is processed in chunks of up to 64K.
  - Each chunk has its own Huffman code.
  - Huffman codes are stored in the compressed text.

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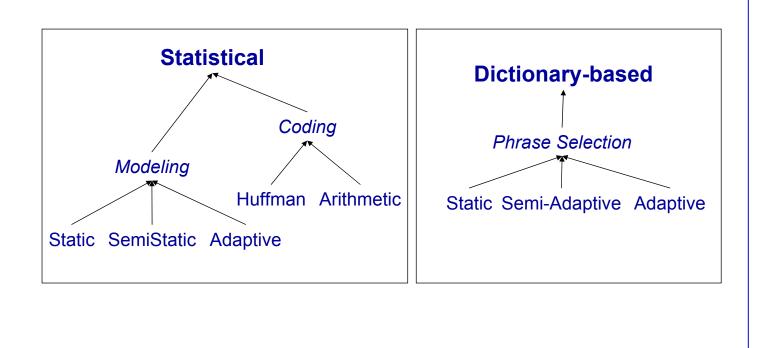
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Adaptive Dictionary Methods> LZ78 (LZ2)

- Data is encoded as a sequence of tuples:
  - < Phrase ID, Next character>
  - Instead of looking backwards for substrings, use a phrase dictionary
- Phrase length does not need to be stored in the tuple.
- · Phrase ids can take up less space than back pointers
- Phrase dictionary grows until a memory limit is reached.
- When full, dictionary:
  - is reinitialized,
  - is partially rebuilt, or
  - becomes static.
- Encodes faster than LZ77, decodes more slowly.

# Compression Techniques: Summary



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## Παραδείγματα Τεχνικών Συμπίεσης

#### **Generic File Compression**

- files: gzip (LZ77), bzip (Burrows-Wheeler), BOA (PPM)
- archivers: ARC (LZW), PKZip (LZW+)
- file systems: NTFS

#### Communication

- Fax: ITU-T Group 3 (run-length + Huffman)
- Modems: V.42bis protocol (LZW) MNP5 (RL + Huffman)

#### **Multimedia**

- Images: gif (LZW), jbig (context), jpeg-ls (residual), jpeg (transform+RL+arithmetic)
- TV: HDTV (mpeg-4)
- Sound: mp3



## **Comparing Text Compression Techniques**

	Arithmetic	Character Huffman	Word Huffman	Ziv-Lempel
Compression ratio	very good	poor	very good	good
Compression speed	slow	fast	fast	very fast
Decompression speed	slow	fast	very fast	very fast
Memory space	low	low	high	moderate
Random access	no	yes	yes	no

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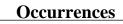
## Inverted File Compression (Sec. 7.4.5)

- An Inverted file contains:
  - (a) a vocabulary containing all distinct words in the text collection
  - (b) for each word in the vocabulary, a list of all documents in which that word occurs
- The size of the inverted file can be reduced by compressing the inverted lists

Vocabulary	Occurrences		
beautiful	-70, 80, 100, 233, 450, 890,		
flowers	45, 58, 66, 82, 123, 790, 920, 955, 1240,		
garden	<b></b>		
house	ъ, 22, 33, 42, 90,		



### Inverted File Compression: Compressing Inverted Lists



70, 80, 100, 233, 450, 890, ...

45, 58, 66, 82, 123, 790, 920, 955, 1240,...

18, 29, 55, 61, 82, 103, 844, 1200, 1345, ...

6, 22, 33, 42, 90, ....

- As the list of document numbers within the inverted list is in ascending order, it can also be considred as a sequence of gaps between document numbers.
  - E.g. [2,8,22,30] -> [2,6,14,8]
  - [21002, 21008, 21022, 21030] -> [21002,6,14,8]
- Since processing is usually done sequentially starting from the beginning of the list, the original document numbers can always be recomputed through sums of the gaps.

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Inverted File Compression: Compressing Inverted Lists (II)

- These gaps are
  - small for frequent words and
  - large for infrequent words
- Compression can be obtained by encoding <u>small values with</u> <u>shorter codes</u>
- Codings
  - Unary code
    - An integer x is coded as (x-1) one bits followed by a zero bit, so the code for the integer 3 is 110



### Inverted File Compression: Compressing Inverted Lists (III)

- Elias-γ
  - the number x is represented by a concatenation of two parts:
    - (1) a unary code for 1 +  $\lfloor \log x \rfloor$  and
    - (2) a code of  $\lfloor \log x \rfloor$  bits that represents the value of x-2 $\lfloor \log x \rfloor$  in binary
- Elias-δ
  - represents the prefix indicating the number of binary bits by the Elias-γ code
- Golomb
  - presented another run-length coding method for positive integers. It is very
    effective when the probability distribution is geometric.

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Inverted File Compression: Compressing Inverted Lists (IV)

- Example codes for integers:
  - MIR BOOK page 185

## Searching Compressed Files

- Huffman coding allows searching directly on compressed text
- Since Huffman coding needs to store the codes of each symbol, this scheme has to store the whole vocabulary of the test.
- This is exploited to efficiently search complex queries
- Evaluating single word queries:
  - they are first searched in the vocabulary
  - their (Huffman) codes are collected which are then searched in the compressed file

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### Searching Compressed Files Inverted Files

- Επανάληψη
  - we represent gaps by schemes that favor small numbers
  - reductions in 90% can be obtained by block addressing indices with blocks of 1 Kb size
- Remarks:
  - Compression does not necessarily degrade time performance
    - most of the time is spent in answering a query is in the disk transfer
  - Query times on compressed or decompressed indices are roughly similar





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