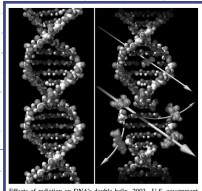


Presentation for use with the textbook, *Algorithm Design and Applications*, by M. T. Goodrich and R. Tamassia, Wiley, 2015

## Dynamic Programming: Longest Common Subsequences



Effects of radiation on DNA's double helix, 2003. U.S. government image. NASA-ARC.

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## Application: DNA Sequence Alignment

- ◆ DNA sequences can be viewed as strings of **A**, **C**, **G**, and **T** characters, which represent nucleotides.
- ◆ Finding the similarities between two DNA sequences is an important computation performed in bioinformatics.
  - For instance, when comparing the DNA of different organisms, such alignments can highlight the locations where those organisms have identical DNA patterns.

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## Application: DNA Sequence Alignment

- ◆ Finding the best alignment between two DNA strings involves minimizing the number of changes to convert one string to the other.

```

X: ACCGGTCGAGTGCGCGGAAGCCGGCCGAA
   ||| ||| ||| ||| ||| ||| ||| |||
G TC GT CG G AAGCCGGCCGAA
GTCGT CGGAA GCGG GC C G AA
||||| ||||| ||||| ||| |||
Y: GTCGTCGGAATGCCGTGCTCTGTAA


```

Figure 12.1: Two DNA sequences, X and Y, and their alignment in terms of a longest subsequence, GTCGTCGGAAGCCGGCCGAA, that is common to these two strings.

- ◆ A brute-force search would take exponential time, but we can do much better using **dynamic programming**.

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## The General Dynamic Programming Technique



- ◆ Applies to a problem that at first seems to require a lot of time (possibly exponential), provided we have:
  - **Simple subproblems:** the subproblems can be defined in terms of a few variables, such as  $j$ ,  $k$ ,  $l$ ,  $m$ , and so on.
  - **Subproblem optimality:** the global optimum value can be defined in terms of optimal subproblems
  - **Subproblem overlap:** the subproblems are not independent, but instead they overlap (hence, should be constructed bottom-up).

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## Subsequences

- ◆ A **subsequence** of a character string  $x_0x_1x_2\dots x_{n-1}$  is a string of the form  $x_{i_1}x_{i_2}\dots x_{i_k}$  where  $i_j < i_{j+1}$ .
- ◆ Not the same as substring!
- ◆ Example String: ABCDEFGHIJK
  - Subsequence: ACEGIJK
  - Subsequence: DFGHK
  - Not subsequence: DAGH

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## The Longest Common Subsequence (LCS) Problem

- ◆ Given two strings X and Y, the longest common subsequence (LCS) problem is to find a longest subsequence common to both X and Y
- ◆ Has applications to DNA similarity testing (alphabet is  $\{A, C, G, T\}$ )
- ◆ Example: ABCDEFG and XZACKDFWGH have ACDFG as a longest common subsequence

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## A Poor Approach to the LCS Problem

- ◆ A Brute-force solution:
  - Enumerate all subsequences of X
  - Test which ones are also subsequences of Y
  - Pick the longest one.
- ◆ Analysis:
  - If X is of length  $n$ , then it has  $2^n$  subsequences
  - This is an exponential-time algorithm!

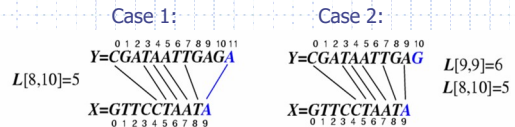
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## A Dynamic-Programming Approach to the LCS Problem

- ◆ Define  $L[i,j]$  to be the length of the longest common subsequence of  $X[0..i]$  and  $Y[0..j]$ .
- ◆ Allow for -1 as an index, so  $L[-1,k] = 0$  and  $L[k,-1] = 0$ , to indicate that the null part of X or Y has no match with the other.
- ◆ Then we can define  $L[i,j]$  in the general case as follows:
  1. If  $x_i = y_j$ , then  $L[i,j] = L[i-1,j-1] + 1$  (we can add this match)
  2. If  $x_i \neq y_j$ , then  $L[i,j] = \max\{L[i-1,j], L[i,j-1]\}$  (we have no match here)



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## An LCS Algorithm

**Algorithm** LCS(X,Y):

**Input:** Strings X and Y with  $n$  and  $m$  elements, respectively

**Output:** For  $i = 0, \dots, n-1$ ,  $j = 0, \dots, m-1$ , the length  $L[i,j]$  of a longest string that is a subsequence of both the string  $X[0..i] = x_0x_1x_2\dots x_i$  and the string  $Y[0..j] = y_0y_1y_2\dots y_j$

```

for i = 1 to n-1 do
  L[i,-1] = 0
  for j = 0 to m-1 do
    L[-1,j] = 0
  for i = 0 to n-1 do
    for j = 0 to m-1 do
      if  $x_i = y_j$  then
        L[i,j] = L[i-1,j-1] + 1
      else
        L[i,j] = max{L[i-1,j], L[i,j-1]}
    return array L
  
```

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## Visualizing the LCS Algorithm

L	-1	0	1	2	3	4	5	6	7	8	9	10	11
-1	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	1	1	1	1	1	1	1	1	1	1	1
1	0	0	1	1	2	2	2	2	2	2	2	2	2
2	0	0	1	1	2	2	2	3	3	3	3	3	3
3	0	1	1	1	2	2	2	3	3	3	3	3	3
4	0	1	1	1	2	2	2	3	3	3	3	3	3
5	0	1	1	1	2	2	2	3	4	4	4	4	4
6	0	1	1	2	2	3	3	3	4	4	5	5	5
7	0	1	1	2	2	3	4	4	4	5	5	5	6
8	0	1	1	2	3	3	4	5	5	5	5	6	6
9	0	1	1	2	3	4	4	5	5	5	6	6	6

Y = CGATAATTGAGA  
0 1 2 3 4 5 6 7 8 9 10 11

X = GTTCCTAATA  
0 1 2 3 4 5 6 7 8 9

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## Analysis of LCS Algorithm

- ◆ We have two nested loops
  - The outer one iterates  $n$  times
  - The inner one iterates  $m$  times
  - A constant amount of work is done inside each iteration of the inner loop
  - Thus, the total running time is  $O(nm)$
- ◆ Answer is contained in  $L[n,m]$  (and the subsequence can be recovered from the L table).

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