4. Flow and Congestion Control in Switching Fabrics

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4.1 Parallelism for High-Throughput: Inverse Multiplexing

<table>
<thead>
<tr>
<th>Bit</th>
<th>Byte-Slice</th>
<th>Packet</th>
<th>Flow</th>
</tr>
</thead>
<tbody>
<tr>
<td>bit 1 of 8</td>
<td>By. 1-8</td>
<td>packet 1</td>
<td>flow 1</td>
</tr>
<tr>
<td>bit 2 of 8</td>
<td>By. 9-16</td>
<td>packet 2</td>
<td>flow 2</td>
</tr>
<tr>
<td>bit 3 of 8</td>
<td>By. 17-24</td>
<td>packet 3</td>
<td>flow 3</td>
</tr>
<tr>
<td>bit 4 of 8</td>
<td>By. 25-32</td>
<td>packet 4</td>
<td>flow 4</td>
</tr>
<tr>
<td>bit 5 of 8</td>
<td>By. 33-40</td>
<td>packet 5</td>
<td>flow 5</td>
</tr>
<tr>
<td>bit 6 of 8</td>
<td>By. 41-48</td>
<td>packet 6</td>
<td>flow 6</td>
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<tr>
<td>bit 7 of 8</td>
<td>By. 49-56</td>
<td>packet 7</td>
<td>flow 7</td>
</tr>
<tr>
<td>bit 8 of 8</td>
<td>By. 57-64</td>
<td>packet 8</td>
<td>flow 8</td>
</tr>
</tbody>
</table>

- Parallel wires or network routes for scaling (virtual) “link” throughput up
- Easy: central control, synchronized; Difficult: distributed control, asynch.

4.1 Byte-Slicing: Tiny Tera & other commercial chips

Mckeown e.a.: “Tiny Tera: a Packet Switch Core”, IEEE Micro, Jan.-Feb.'97
**Benes Fabric: Recursive Definition**

- Goal: reduce switch radix from $N \times N$ to $(N/2) \times (N/2)$: combine ports in pairs
- Port-pairs require links of twice the throughput: use inverse multiplexing

\[ \Rightarrow \text{Use two switches, of half the radix each, in parallel to provide req'd throughput} \]

Full Construction of $16 \times 16$ Benes out of $2 \times 2$ Switches

- Step-1 sub-network
- Step-2 sub-network
- Step-3

4.1 - M. Katevenis, FORTH and U.Crete, Greece
Inverse Multiplexing for Non-Blocking Operation

Per-Flow Inverse Mux’ing for Non-Blocking Operation

- Prove that overall \( N \times N \) network is non-blocking, i.e. any feasible external traffic \( \Rightarrow \) feasible rates on all internal links
- All traffic entering switch A is feasible, hence of aggregate rate \( \leq 1 + 1 = 2 \); it is split into two halves \( \Rightarrow \) each of rate \( \leq 1 \) \( \Rightarrow \) traffic entering each \((N/2) \times (N/2)\) subnetwork is feasible
- It does not suffice to balance (equalize) the aggregate load out of switch A – must equally distribute individual (end-to-end) flows – per-flow inverse multiplexing
  \( \Rightarrow \) each of \( \lambda_{2,i}; \lambda_{3,j}; \lambda_{6,j} \) is individually split in two equal halves
  \( \Rightarrow \) the sum of \( \lambda_{3,j} + \lambda_{6,j} \) is also split in two equal halves
- All traffic exiting switch D is feasible, hence of aggregate rate \( \leq 1 + 1 = 2 \); it enters D in two equal halves \( \Rightarrow \) each of rate \( \leq 1 \) \( \Rightarrow \) traffic exiting each \((N/2) \times (N/2)\) subnetwork is also feasible
Methods to implement (per-flow) Inverse Multiplexing

- **Per-Flow Round-Robin**, at packet granularity
  - for each flow, circularly and per-packet alternate among routes
  - requires maintaining per-flow state
  - danger of synchronized RR pointers: pck bursts to same route
  - alternative: arbitrary route selection, provided the (per-flow) imbalance counter has not exceeded upper bound value

- **Adaptive Routing**, at packet granularity – usu. Indiscriminate
  - chose the route with least-occupied buffer (max. credits)
    - does not maintain or use per-flow state
    - per-flow load balancing only "after-the-fact", when buffers fill up

- **Randomized** Route Selection, at packet granularity
  - does not require maintaining per-flow state
  - load balancing is approximate, and long-term

- **Packet Resequencing** (when needed): major cost of inv.mux’ng
  - Chiussi, Khotimsky, Krishnan: IEEE GLOBECOM'98

- **Hashed Route Selection** at entire Flow Granularity
  - route selection based on hash function of flow ID
    - all packets of given flow through same route ⇒ in-order delivery
    - poor load balancing when small number of flows
4.2 The Banyan (Butterfly) Network

- Single route from given input to given output
- Each input is the root of a tree leading to all outputs
- Trees share nodes
- (Similarly, outputs are roots of trees feeding each from all inputs)
- For $N \times N$ network made of $2 \times 2$ sws:
  - $\log_2 N$ stages, of $N/2$ sws per stage

The banyan network is internally blocking

- Consider circuits: each $\lambda_{ij}$ is either 1 or 0: single connection per port – "telephony" style
- There are $N!$ such circuit connection patterns for a $N \times N$ network – each is a permutation of the numbers $(1, 2, \ldots, N)$
- Any network containing $(N/2) \cdot \log_2 N$ or less $2 \times 2$ switches (like the banyan does) has to be internally blocking, because it can only be placed into less than $N!$ states, hence cannot route all $N!$ existing sets of con. req's
- Each $2 \times 2$ switch can be placed in 2 different states; a network containing $(N/2) \cdot \log_2 N$ such switches can be placed into $2^{(N/2) \cdot \log_2 N} = N^{(N/2)}$ different states; $N^{(N/2)} = N \cdot (N/2)^{(N/2) \cdot 1} \cdot 2^{(N/2) \cdot 1} < N \cdot [(N-1) \cdot \ldots \cdot (N/2+1)] \cdot [(N/2) \cdot \ldots \cdot 2] = N! \Rightarrow$ not enough states
• Circuit Connections: Start from an input, use one of the subnets

• Continue from the brother port of the output, then the brother of the input
• Keep “threading” output and input switches, till closing or no-connection

• Start a new “thread” (a) from an unconnected input, till completing all conn.
Which is the lowest-cost non-blocking fabric?

- $N \times N$ Benes network, made of $2 \times 2$ switches:
  - $2 \cdot (\log_2 N) - 1$ stages (2 banyans back-to-back, 1 shared stage)
  - $N/2$ switches per stage $\Rightarrow$ total switches = $N \cdot (\log_2 N) - N/2$
  - number of states that the Benes network can be in = $2^{\# \text{switches}} = 2^{N \cdot (\log_2 N) - N/2} = (2^{\log_2 N})^N / 2^{N/2} = N^N / 2^{N/2} = [N \cdot \ldots \cdot N] \cdot [(N/2) \cdot \ldots \cdot (N/2)] > N \cdot (N-1) \cdot \ldots \cdot 2 \cdot 1 = N! \Rightarrow$ Benes has more states than the minimum required for a net to be non-blocking
  - Benes was seen to be non-blocking: (i) circuits and the "threading" algorithm, (ii) packets and inverse multiplexing
  - "rearrangeably" non-blocking: in a partially connected network, making a new connection may require re-routing existing ones
- Impossible for any network with about half the switches of the Benes (e.g. banyan) to be non-blocking (# of states)
  $\Rightarrow$ Benes is probably the lowest-cost practical non-blocking fabric
4.2 Clos Networks (generalization of Benes nets)

5-parameter Network: (IN, N1, N2, N3, OUT)
this example: the (3, 4, 5, 4, 3) Clos Network
usually: IN = OUT, and N1 = N3

A

strictly non-blocking
if and only if N2 ≥ IN+OUT-1

Clos Networks

N2 switches ≥ IN + OUT - 1
4.2 Fat Trees: customizable local versus global traffic

- Customizable percent fat – configurable amounts of internal blocking
- Bidirectional links, like most practical interconnects
- Skinny trees support local traffic – Full-fat tree is like folded Benes

Switch Radix, Hop Count, Network Diameter

- Most of our examples used unidirectional links – fig. (a)
  - “indirect” nets have ports at edges.
- Most practical interconnects use bidirectional links – fig. (b)
  - “direct” nets provide external ports on all switches.
- If some destinations are reachable at reduced hop count (P2 in (b)), that is at the expense of the total number of destinations reachable at a given hop count – or larger network diameter.
- Energy consumption to cross the net critically depends on the number of chip-to-chip hops, because chip power is dominated by I/O pin driver consum.
4.3 Flow Control – Lossy versus Lossless

- **Lossy Flow Control:** may fail to prevent buffer overflows ⇒ packets may be dropped
  - inherited from communications: same as electrical noise
  + simple switches, avoids deadlock danger
  - need data re-transmissions: additional (and long) delays
  - wastes communication capacity: “goodput” versus throughput

- **Lossless Flow Control:** guarantees buffers to never overflow
  - inherited from hardware: processors never drop data
  + no wastes – can reach ≈ 100% utilization if properly designed
  + can minimize delay, if properly designed
  - complex switches – need multilane protocols in order to avoid phenomena similar to HOL blocking and deadlocks

**RTT: the fundamental Time-Constant of Feedback**

Traffic is “blind” during a time interval of RTT:
- the source will only learn about the effects of its transmission RTT after this transmission has started (or RTT after a request for such transmission has been issued)
- the (corrective) effects of a contention notification will only appear at the site of contention RTT after that occurrence
4.3 Rate-based Flow Control

- Note: oftentimes, the sender uses a window mechanism, varying the window size in order to control its rate

ON/OFF (start/stop): simplistic Rate-based Flow Ctrl

- Rate-based flow control used for lossless transfers
- Less than half the buffer efficiency of credit-based flow ctrl
4.3 Credit-Based (Window) Flow Control

- Credit-Based (Window) Flow Control uses a credit system to control the flow of data.
- The credit count represents the number of available buffer slots at the downstream site.
- When new buffer slots are made available, corresponding credits are sent upstream.
- Traffic can only depart if and when it acquires (decrements) the credit(s) that correspond to the buffer slot(s) needed.
- The credit count must be non-negative, ensuring a lossless flow control.

\[ \text{(necess. & suffic'nt) Buffer Space} = \text{Peak Thruput} \times \text{RTT} \]

- The buffer space is determined by the product of the peak throughput and round-trip time (RTT).
- A 6-cell buffer with 3 credits can hold 3 cells, with a delay of 3 cell-times.
- If the buffer is empty (0 credits), data stops and starts immediately when credits are available.

\[ \text{delay} = 3 \text{ cell-times} \]
4.4 Indiscriminate Lossless FC ⇒ HOL Blocking

packets destined to light traffic areas needlessly delayed or blocked!

Solution 1:
queueing & flow control
Per-Flow lossy flow control...

Solution 2:
similar to Head-of-Line (HOL) Blocking!

"Remaining" Queue ("pushed back") of Queue

With any queueing discipline (FIFO or not) this switch has only access to and can only schedule packets in this limited buffer space ⇒ similar to Head-of-Line (HOL) Blocking!

Indiscriminate (shared-queue) Queueing is Unfair

(the "parking lot" problem)

Solution:
Per-Flow queueing and (weighted) round-robin scheduling

- 50 % red
- 25 % purple
- 12 % blue
- 12 % green

• Solution:
Per-Flow queueing and (weighted) round-robin scheduling
4.4 Solution: Per-Flow Queueing & Flow Control

- Congested flows (e.g. red) become stopped, yet other flows can bypass them –since each flow uses its own, separate queue– hence not feeling any negative effects from the congestion in other parts of the network.

4.4 Buffer Space versus Number of Flows

- For proper congestion management, flows are defined per priority level and end-to-end in the network – not per switch (not the mere VOQ’s inside individual switches)
  - optimization: merge flows from diff. sources to same destination
- What to do when the number of flows is so large that it becomes impractical to allocate a separate queue and space for a flow-control window for each flow?
  - Dynamically share the available buffer space among the flows
    - ATLAS I and QFC protocols: share a number of lanes among flows
    - H.T.Kung protocol: bounded acceleration per RTT
  - Regional Explicit Congestion Notification (RECN)
  - Credit allocation by Request-Grant, rather than pre-allocation
Share a number of Lanes among Flows: QFC, ATLAS

- Two kinds of Credits – a packet must secure one of each for departure:
  - Pool Credits (init: B), to control overall downstream buffer occupancy
  - Per-Flow Credits (init: b), to limit the buffer space occupied per flow
- Number of Lanes = B / b
  - the buffer is guaranteed to fit packets from at least that many flows
  - if # congested destinations < # Lanes ⇒ others can bypass congested

The QFC / ATLAS I Credit Flow-Control Protocol

- ATLAS I switch chip (FORTH, 1995-98): implemented a similar protocol at 32 K flow granularity.

- Features:
  - identically destined traffic is confined to a single “lane”
  - each “lane” can be shared by cells belonging to multiple packets
- As opposed to Wormhole Routing, where:
  - a “virtual circuit” (VC) is allocated to a packet and dedicated to it for its entire duration, i.e. until all “flits” (cells) of that packet go through
  - identically destined packets are allowed to occupy distinct VC’s
Buffer Space for Bounded Peak-to-Average Rate Ratio

- Assume $R_{\text{peak}(i)} / R_{\text{average}(i)} \leq \text{PAR}$ for all flows $i$ on a link
  - $R(i)$ is the rate (throughput) of flow $i$
  - $\text{PAR}$ is a constant: peak-to-average ratio bound
  - interpretation: rate fluctuation is bounded by $\text{PAR}$
- Each flow $i$ needs a credit window of $\text{RTT} \cdot R_{\text{peak}(i)}$
- Buffer space for all flows is $\sum (\text{RTT} \cdot R_{\text{peak}(i)}) = \text{RTT} \cdot \sum (R_{\text{peak}(i)}) = \text{PAR} \cdot \text{RTT} \cdot \sum (R_{\text{average}(i)}) = \text{PAR} \cdot (\text{RTT} \cdot R_{\text{link}})$
  \[ \Rightarrow \]

Allocate buffer space = $\text{PAR}$ number of “windows”

When individual flow rates change, rearrange the allocation of buffer space between flows—but must wait for the buffer of one flow to drain before reallocating it (not obvious how to)


Dynamically Sharing the Buffer Space among Flows

- In order to depart, a packet must acquire both:
  - a per-flow credit (to guard against “buffer hogging”), and
  - a per-link credit (to ensure that the shared buffer does not overflow)
- Properly manage (increase or decrease) the per-flow window allocation based on traffic circumstances:
  - ATLAS and QFC protocols never change the per-flow window
  - H.T.Kung protocol moves allocations between flows (unclear how)
  - other idea: use two window sizes—a “full” one and a “small” one; use full-size windows when total buffer occupancy is below a threshold, use small-size windows (for all flows) above that point (flows that had already filled more than a small window will lose their allocation on packet departure) – C. Ozveren, R. Simcoe, G. Varghese: “Reliable and Efficient Hop-by-Hop Flow Control”, IEEE JSAC, May 1995.
Regional Explicit Congestion Notification (RECN)

- Generalization & evolution of the ATLAS/QFC protocol
- Source-routing header describes path through fabric
- Intermediate or final link congestion sends back-notification
- All packets to congested link confined to a single lane
  - intermediate links identified via path component in header
  ⇒ entire trees of destinations in single lane (improvement over QFC)
  - equivalent of lane here called “Set-Aside Queue” (SAQ)
- VOQ’s replaced by Single (!) Input Queue + SAQ’s
  - dynamically create/delete SAQ’s
  - CAM assumed to match incoming pck header versus current SAQ’s

Request-Grant Protocols

- Consider a buffer feeding an output link, and receiving traffic from multiple sources:
- If credits are pre-allocated to each source, the buffer needs to be as large as one RTT-window per source;
- If credits are “held” at buffer and only allocated to requesting source(s) when these have something to transmit, then a single RTT-window suffices for all sources!
  ⇒ economize on buffer space at the cost of longer latency